



Pennsylvania Gaming Control Board

Slot Machine Operator, Management Company, and Affiliate Application and Disclosure Form (Initial and Renewal)

SECTION 1: *Please fill in the name of the entity completing this application.*

Applicant's Name:

Mountainview Thoroughbred Racing Association, LLC

SECTION 2: *Check the appropriate box below to indicate the type of license for which the above-named entity is applying. If applicant listed above is an affiliate, intermediary, subsidiary, or holding company of a company applying for an Enterprise license type below, leave Section 2 blank and skip to Section 3.*

Category Type:

- ☐ Category 1 Slot Operator
- ☐ Category 2 Slot Operator
- ☐ Category 3 Slot Operator
- ☒ Category 4 Slot Operator

Other:

- ☐ Management Company

SECTION 3:

Application Period:

☒ Initial

☐ Renewal

SECTION 4: *If the above-named entity in Section 1 is applying for licensure as an affiliate, intermediary, subsidiary, or holding company of an enterprise entity, provide below the name of the enterprise entity.*

Affiliate of:

INSTRUCTIONS

These instructions are applicable to any "person" seeking to be licensed as a Category 1, Category 2, Category 3, Category 4 Slot Machine Operator (collectively referred to hereafter as Slot Operator) or Management Company. Please be advised that no person, its affiliate, intermediary, subsidiary or holding company that has applied for or is a holder of a slot machine license, shall be eligible to apply for or hold a supplier or manufacturer license.

As used in these Instructions, the phrase "affiliated entities" shall mean a Slot Operator's or Management Company's affiliates, intermediaries, subsidiaries and holding companies.

The original form, one paper copy, and one (1) compact disc (cd) containing all forms must be sent to the Pennsylvania Gaming Control Board, Bureau of Licensing, 303 Walnut Street, Fifth Floor, Commonwealth Tower, Harrisburg, Pennsylvania 17101 with the appropriate fee. Please refer to the licensing section of the Board's website for cd formatting requirements.

1. Slot Operator and Management Company

The forms that make up an application package for a Slot Operator or Management Company License are as follows:

A. Slot Operator, Management Company and Affiliate Application and Disclosure Information Form (To be completed by applicant and each of applicant's affiliated entities).

B. Multi-Jurisdictional Personal History Disclosure Form (Multi-Jurisdictional PHD)

(To be completed by each natural person who is a principal or key employee as defined in 58 Pa. Code §401a.3) and identified in Schedules 1, 5, 10, 10A, 11, 13, 15 and 18).

C. Principal/Key Employee Form - Pennsylvania Supplement to the Multi-Jurisdictional Personal History Disclosure Form (PA Supplement)

(To be completed by each natural person who is a principal or key employee identified in Schedules 1, 5, 10, 10A, 11, 13, 15 and 18).

D. Principal Entity Form

(To be completed by each entity that is a principal identified in Schedules 10, 10A, 11, 13, 15 and 18).

2. APPLICATION FEES

A. Application fees and Investigation Deposits

Application fees must be submitted with the application package. These fees are non-refundable deposits that will be used by the Board to process and investigate the Slot Operator or Management Company applicant and the applicant's affiliated entities and persons filing forms as part of the application package. Application fees must be submitted for each applicant, affiliated entity and person, unless otherwise noted.

There may be additional costs and expenses incurred by the Board in its processing and investigation of the slot operator or management company applicant and the applicant's affiliated entities and persons. The slot operator or management company applicant must reimburse the Board for all additional costs and expenses related to the processing and investigation of their application package.

Slot Operator or Management Company Applicant\$5,000.00

Applicant's Affiliated Entities\$2,500.00

In addition to application fees, license fees will be required to be paid prior to license issuance. The license fee schedule can be found on the board's website at http://gamingcontrolboard.pa.gov/files/licensure/applications/Schedule_of_Fees.pdf

3. APPLICATION FORM INSTRUCTIONS

Generally

As used in the slot operator or management company form, the words "Applicant" and "you" shall mean the slot operator or management company applicant. When applicant's affiliated entities are completing the form, "Applicant" and "you" shall refer to the affiliated entity completing the form.

All entries on the form must be typed or printed in block lettering. Initials and signatures must be handwritten by the person providing the information. If the answers are not legible, the application may not be accepted.

Read each question carefully prior to answering. Answer every question completely. Do not leave blank spaces. If a question does not apply to the applicant, write "Does Not Apply" in response to that question. If a Schedule or Addendum does not apply to the applicant, write "Does Not Apply" on the Schedule or Addendum.

Appendices are to be provided by the applicant. The required appendices are listed on the Application Checklist. Appendices must be presented in a tabbed manner. Each tab must indicate the appendix number. Immediately following the tab, applicant must insert a page with the appendix number and all information applicable to the appendix. If an appendix does not apply to the applicant, write "Does Not Apply" on the appendix page.

All pages of the form must be initialed by the applicant, or if the applicant is not a natural person, the person authorized to complete the form on behalf of the applicant must initial each page. If additional pages are required in order to answer any question, additional pages may be utilized and must be attached to the form. Be sure to indicate the number(s) of the question(s) being answered and initial each additional page. Some schedules may require disclosure of information for more than one natural person or entity or type of information. If there are multiple disclosures, make enough additional copies of the blank schedule and complete it for each natural person or entity or type of information.

All required documentation, such as business formation papers, tax returns and Appendices, as well as the application forms that comprise an application package for a slot operator or management company license, as listed above, must be submitted at the time of filing this form.

Additional financial information will be requested as needed.

Should you be unable to understand this form fully in English, it is your responsibility to acquire adequate means of translation. If you submit a document to the Board that is in a language other than English, you must also submit an English translation compliant with 58 Pa. Code §423a.1(h).

All notices regarding your application will be sent to the address you provide on this form. You must immediately notify the Board if you change your address.

Failure to answer any question completely and truthfully will result in denial of your application and/or revocation of your license, registration, certificate or permit and may subject you to criminal penalties under 18 Pa.C. S. A. §4903.

Any person who applies for and obtains a license, registration, certificate or permit from the Board may be required to submit to warrantless searches when present in a licensed gaming facility pursuant to the Act.

Confidential Information (as defined in 58 Pa. Code §401a.3) supplied to the Board or otherwise obtained shall not be revealed except in the course of the necessary administration of the Act, or upon the lawful order of a court of competent jurisdiction or, with the approval of the Attorney General, to a duly authorized law enforcement agency. An applicant or license, registration, certificate or permit holder waives any liability of the Commonwealth of Pennsylvania and its instrumentalities and agents for any damages resulting from any disclosure or publication in any manner, other than a willfully unlawful disclosure or publication.

A license, permit, certification or registration issuance, renewal or other authorization issued by the Board is a revocable privilege. No person holding a license, permit, certification or registration, renewal, or other authorization is deemed to have any property rights related to the license, permit, certification or registration.

An application that has been accepted for filing and all related materials submitted to the Board become the property of the Board and will not be returned to the applicant.

This is an application for a slot machine or management company license. An entity interested in offering table games must first obtain a slot machine license in order to be eligible to file a petition seeking authorization to conduct table games. Any references to table games in this application are for informational purposes only.

IF YOU HAVE ANY QUESTIONS REGARDING THE APPLICATION PACKAGE FORMS OR THE INFORMATION REQUIRED TO COMPLETE ANY APPLICATION, PLEASE CONTACT THE PENNSYLVANIA GAMING CONTROL BOARD - BUREAU OF LICENSING AT (717) 346-8300.

Applicant Information

Applicant's Business Name			
Business Name as it appears on applicant's certificate of incorporation, charter, bylaws, partnership agreement or other official documents (spell out complete name, do not use abbreviations)			
Mountainview Thoroughbred Racing Association, LLC			
Trade Name(s) and Doing Business As ("DBA") Names Hollywood Casino York			
<p>Has the applicant been verified as a minority or women's business enterprise by the Pennsylvania Department of General Services (DGS) Bureau of Small Business Opportunities? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If yes, provide the name of the entity that certified the applicant as a minority or women's business enterprise and upon which the DGS verification was made.</p> <p>Does not apply.</p> <p>If yes, attach the verification letter from the Bureau of Small Business Opportunities and/or the Small Business Procurement Initiative Certificate that identifies the company as a small diverse business (not only as a small business) and provide the certification number REDACTED.</p>			
Applicant's Principal Address			
Address Line 1 720 Bow Creek Road			
Address Line 2			
Address Line 3			
City Grantville		State/Province PA	Postal Code 17028
Country USA		Email Address corporate @pngaming.com	
County Dauphin	Township East Hanover	Web URL hcapn.com	
Phone Number 717-469-2211		Fax Number 717-469-3397	
Applicant's Address In Pennsylvania (If applicable)			
Address Line 1 2899 Whiteford Road			
Address Line 2 York Galleria			
Address Line 3			
City York		State/Province PA	Postal Code 17402
Country USA		Email Address To be established	
County York	Township Springettsbury	Web URL To be established	
Phone Number To be established		Fax Number To be established	

Contact Name for this Application			
First Name Alex	Middle Name Matthew	Last Name Hvizda	Suffix (Jr., Sr., etc.)
Title Director of Compliance		Individual Email Address REDACTED	
Phone Number REDACTED		Fax Number REDACTED	
Applicant's Form of Organization			
Check One			
<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Partnership	<input type="checkbox"/> Limited Partnership	<input type="checkbox"/> C-Corporation
<input checked="" type="checkbox"/> Limited Liability Company	<input type="checkbox"/> S-Corporation	<input type="checkbox"/> Trust	
<input type="checkbox"/> Other (describe) _____			
Applicant's Organization Documents			
State of Incorporation, Registration or other type of Formation Pennsylvania		Date of Formation 08/05/2013	
Applicant's business name as it appears on the formation documents Mountainview Thoroughbred Racing Association, LLC			
List all states in which the applicant is currently registered or authorized to do business Pennsylvania			
Is applicant registered or authorized to do business in the Commonwealth of Pennsylvania? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Applicant's Identification Numbers			
Federal Employer Identification Number/TIN REDACTED		PA Unemployment Compensation Account Number REDACTED	
PA Department of Revenue Corporate Box Number REDACTED		PA Liquor Control Board License Number To be established for Hollywood Casino York	
PA Workers Compensation Policy Number REDACTED		PA Department of State – Entity Number 4205581	
Does the applicant have any outstanding tax liabilities to either the Commonwealth of Pennsylvania or any other state, locality or the Federal government? REDACTED			
If you answer YES, provide details concerning all outstanding tax liabilities.			
Applicant's Billing Contact Information			
First Name Heather	Last Name Nicarry		Suffix (Jr., Sr., etc.)
Title Director of Finance		Individual Email Address REDACTED	
Address 720 Bow Creek Road			
City Grantville	State/Province PA		Postal Code 17028
Phone Number REDACTED		Fax Number REDACTED	

Criminal History	
<p>The next section asks about any offenses or charges applicant or any of its principals or key employees may have committed or had filed against them. Prior to answering this question, carefully review the definitions and instructions that follow.</p>	
DEFINITIONS	<p>For purposes of this section:</p> <p>A. "CRIME OR OFFENSE" includes all felonies and misdemeanors, as well as summary offenses that may have required you to appear before a law enforcement agency, state or federal grand jury, justice court, municipal court, city court, military court or any other court EXCEPT Juvenile Court. Include all DUI/DWI offenses.</p> <p>B. "ARREST" includes any time that you were stopped by a police officer or other law enforcement officer and advised that you were under arrest, detained, held for questioning, requested by a police officer or law enforcement officer to come to a police station and answer questions, taken into custody by any police officer or other law enforcement officer, fingerprinted, held in jail, or instructed to appear in court or subpoenaed to answer for conduct which is a crime as has been defined in paragraph "A."</p> <p>C. "CHARGE" includes any indictment, complaint, information, summons, citation or other notice of the alleged commission of any crime or offense as defined in paragraph "A."</p>
INSTRUCTIONS	<p>1. ANSWER "YES" AND PROVIDE ALL INFORMATION TO THE BEST OF YOUR ABILITY EVEN IF:</p> <p>A. You did not commit the offense charged;</p> <p>B. The arrest or charges were dismissed or the charges were subsequently downgraded to a lesser charge;</p> <p>C. You pleaded not guilty or nolo contendere;</p> <p>D. You completed an accelerated rehabilitative disposition ("ard") or equivalent diversionary program;</p> <p>E. The charges or conviction were expunged from your record, even if you have expungement papers;</p> <p>F. You were not convicted or were found "not guilty"</p> <p>G. You did not serve any time in prison or jail;</p> <p>H. The arrests, charges or offenses happened a long time ago;</p> <p>I. You were arrested or charged in another state (a state other than Pennsylvania);</p> <p>J. You were never physically taken into custody and/or transported to a police station or jail.</p> <p>2. ANSWER "NO" IF:</p> <p>A. You have never been arrested or charged with any crime or offense;</p> <p>B. Your arrest happened when you were under 18 years of age and your court appearance was in juvenile court.</p> <p>FAILURE TO FULLY ANSWER THIS QUESTION MAY RESULT IN THE DENIAL OF YOUR APPLICATION.</p>

1. Has applicant or any of its principals or key employees ever been indicted, charged with or convicted of a criminal offense or been a party to or named as an unindicted co-conspirator in any criminal proceeding in the Commonwealth or any other jurisdiction?	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
1. A. Has applicant or any of its principals or key employees ever been convicted of a felony?	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
1. B. Has applicant or any of its principals or key employees ever been convicted of a misdemeanor or gambling offense? If you answer YES to any of these questions, you must complete Schedule 23 concerning Criminal History.	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
Testimony, Investigations or Polygraphs	
2. Has applicant or any of its principals or key employees ever been called to testify before, been the subject of an investigation conducted by, or requested to take a polygraph exam by any governmental agency, court, committee, grand jury or investigatory body (municipal, state, county, provincial, Federal, national, etc.) other than in response to minor traffic related offenses? If you answer YES, you must complete Schedule 24 concerning Testimony, Investigations or Polygraphs.	REDACTED
Antitrust, Trade Regulation & Securities Judgments; Statutory and Regulatory Violations	
3. Has applicant, or any of its affiliates, intermediaries, subsidiaries or holding companies ever had a judgment, order, consent decree or consent order pertaining to a violation or alleged violation of the Federal antitrust, trade regulation or securities laws, or similar laws of any state, province or country entered against it?	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
4. In the past ten (10) years, has applicant, or any of its affiliates, intermediaries, subsidiaries or holding companies had a judgment, order, consent decree or consent order pertaining to any state or Federal statute, regulation or code that resulted in a fine or penalty of \$50,000 or more entered against it? If you answer YES to either question, you must complete Schedule 26 concerning Antitrust, Trade Regulation & Security Judgments; Statutory and Regulatory Violations.	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Bankruptcy or Insolvency Proceedings	
5. Has applicant, or any of its affiliates, intermediaries, subsidiaries or holding companies had any petition under any provision of the Federal Bankruptcy Code or under any state insolvency law filed by or against it in the last ten (10) year period?	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
6. Has applicant, or any of its affiliates, intermediaries, subsidiaries or holding companies sought relief under any provision of the Federal Bankruptcy Code or under any state insolvency law in the last ten (10) year period?	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
7. Has a court appointed any receiver, fiscal agent, trustee, reorganization trustee, or similar officer for applicant, or any of its affiliates, intermediaries, subsidiaries or holding companies in the last ten (10) years?	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
If you answer YES to any of these questions, you must complete Schedule 27 concerning Bankruptcy or Insolvency Proceedings.	

Applicant's Licenses and Permits	
<p>8. Has applicant, or any of its affiliates, intermediaries, subsidiaries or holding companies applied for any license or permit by a government agency for the collection of sales and use tax, selling and serving liquor and malt beverages, providing overnight lodging services or any other activity requiring a license or permit? A government agency as used here includes any subordinate creature of Federal, State, Native American or local government created to carry out a governmental function or to implement a statute or statutes.</p> <p>If you answer YES, you must complete Schedule 28 concerning Non-Gaming Licenses and Permits.</p>	<p><input checked="" type="checkbox"/> YES <input type="checkbox"/> NO</p>
<p>9. Has applicant, or any of its affiliates, intermediaries, subsidiaries or holding companies applied for any license or permit by a government agency charged with regulating games of chance, including but not limited to slot machines, video lottery terminals, table games, horse racing, jai alai, etc.? A government agency as used here includes any Federal, state, Native American or local government created to carry out a governmental function or to implement a statute or statutes.</p> <p>If you answer YES, you must complete Schedule 29 concerning Gaming Licenses and Permits.</p>	<p><input checked="" type="checkbox"/> YES <input type="checkbox"/> NO</p>
Applicant's Contributions and Disbursements	
<p>10. During the last ten (10) year period, has applicant, its parent company, or any of its affiliates, intermediaries, subsidiaries, holding companies, principals, employees or any third parties acting for or on behalf of applicant made any bribes or kickbacks or made any payments alleged to have been bribes or kickbacks to any employee, person, company or organization to obtain favorable treatment?</p>	<p>REDACTED</p>
<p>11. During the last ten (10) year period, has applicant, its parent company, or any of its affiliates, intermediaries, subsidiaries, holding companies, principals, employees or any third parties acting for or on behalf of the applicant made any bribes or kickbacks or made any payments alleged to have been bribes or kickbacks to any government official, domestic or foreign to obtain favorable treatment?</p>	
<p>12. During the last ten (10) year period, has applicant, its parent company or any of its affiliates, intermediaries, subsidiaries, holding companies, principals, employees or any third parties for or on behalf of applicant loaned funds for the purpose of opposing or supporting any government, political party, candidate or committee, either domestic or foreign?</p>	
<p>13. During the last ten (10) year period, has applicant, its parent company, or any of its affiliates, intermediaries, subsidiaries, holding companies, principals, employees or any third parties acting for or on behalf of applicant donated or loaned property or any other thing of value, or organized, sponsored or participated in fundraising activities for the purpose of opposing or supporting any government, political party, candidate or committee, either domestic or foreign?</p>	

<p>14A. During the last ten (10) year period, has applicant, its parent company, or any of its affiliates, intermediaries, subsidiaries or holding companies made any loans, donations or other disbursements to principals, employees or any third parties for the purpose of reimbursing such individuals for political contributions either foreign or domestic?</p> <p>14B. During the last ten (10) year period, has applicant, its parent company, or any of its affiliates, intermediaries, subsidiaries or holding companies formed or caused to be formed, a political action committee either under federal or state election laws?</p> <p>14C. As a result of the Citizen's United v. FEC decision, has applicant, its parent company, or any of its affiliates, intermediaries, subsidiaries or holding companies made "independent expenditures", as defined in SEC. 1621(e) of the Pennsylvania Election Code, for the purpose of influencing an election covered by the Pennsylvania Election Code?</p>	<p>REDACTED</p>
<p>15. During the last ten (10) year period, has applicant, its parent company, or any of its affiliates, intermediaries, subsidiaries or holding companies maintained any bank account, domestic or foreign, not reflected on the applicant's books or records?</p>	
<p>16. During the last ten (10) year period, has applicant, its parent company, or any of its affiliates, intermediaries, subsidiaries or holding companies maintained any numbered account or any account in the name of a nominee for applicant?</p> <p>If you answer YES to any of these questions, you must complete <u>Schedule 30</u>, concerning contributions and disbursements.</p>	

APPENDICES

The appendices are documents the applicant must provide or create. The appendices are not represented in the application questions or its schedules or addenda. Each appendix shall be presented in a tabbed manner and each tab must indicate the appendix number as listed below. If an appendix does not apply to an applicant, write "DOES NOT APPLY" on the appendix page.

<input checked="" type="checkbox"/>	Appendix 1: Description of the business currently performed and the business intended to be performed in the Commonwealth. This information must be specific and must be organized around the topics shown in <u>Schedules 31 and 32</u> . Additionally, applicant must indicate the relationship between it and its affiliated entities as it relates to the business intended to be performed in the Commonwealth in the form of an organization chart with a narrative description.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 2: Description of any former business engaged in during the last ten (10) years and the reason for cessation of the business.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 3: Description of all bonus, profit sharing, pension, retirement, deferred compensation and similar plans. This information must be provided in addition to the information provided in <u>Schedule 8</u> .	MANDATORY
<input checked="" type="checkbox"/>	Appendix 4: Description of long term debt. This information must be provided in addition to the information provided in <u>Schedules 12 and 13</u> .	MANDATORY
<input checked="" type="checkbox"/>	Appendix 5: Description of other indebtedness and security devices. This information must be provided in addition to the information provided in <u>Schedules 14 and 15</u> .	MANDATORY
<input checked="" type="checkbox"/>	Appendix 6: Description of securities options. This information must be provided in addition to the information provided in <u>Schedules 16 and 17</u> .	MANDATORY
<input checked="" type="checkbox"/>	Appendix 7: Description of existing litigation. This information must be provided in addition to the information provided in <u>Schedule 25</u> .	MANDATORY
<input checked="" type="checkbox"/>	Appendix 8: Audited financial statement for the last fiscal year. If the Applicant does not normally have its financial statements audited, attach unaudited financial statements. If this has previously been provided, resubmissions would not be required and the response should reflect the previous date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 9: Audited financial statements for the last five (5) years. If the Applicant does not normally have its financial statements audited, attach unaudited financial statements. If this has previously been provided, resubmissions would not be required and the response should reflect the previous date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 10: Annual reports for the last five (5) years. If this has previously been provided, resubmissions would not be required and the response should reflect the previous date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 11A: Annual reports prepared on the SEC's 10K for the last five (5) years. Appendix 11B: Copies of annual or quarterly filings for the last five (5) years required under the laws of a regulatory agency of another country. Note: If this has previously been provided, resubmissions would not be required and the previous response should reflect the date(s) of submission.	MANDATORY

<input checked="" type="checkbox"/>	Appendix 12: A copy of the last quarterly unaudited financial statement. If this has previously been provided, resubmissions would not be required and the response should reflect the previous date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 13: A copy or copies of any interim reports. If this has previously been provided, resubmissions would not be required and the response should reflect the previous date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 14: A copy of the last definitive Proxy or information statement (SEC). If this has previously been provided, resubmissions would not be required and the response should reflect the previous date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 15: A copy of all registration statements for the last five (5) years filed in accordance with the Securities Act of 1933. If this has previously been provided, resubmissions would not be required and the response should reflect the previous date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 16: Copies of all other reports prepared in the last five (5) years by independent auditors of the applicant. If this has previously been provided, resubmissions would not be required and the response should reflect the previous date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 17: Certified copies of the Articles of Incorporation, Charter, Bylaws, Partnership Agreement or other official documents and all amendments and proposed amendments. If this has previously been provided, resubmissions would not be required and the response should reflect the previous date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 18: Current ownership table of organization.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 19: Functional table of organization for applicant with, job descriptions, and names of employees earning in excess of \$250,000 in annual compensation.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 20: Copies of federal entity tax filings, including forms 1120, 1120-s, 1120-f, 1065, 941 and all other business related tax forms filed with the IRS in the last five (5) years. If this has previously been provided, reports would not be required and the response should reflect the date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 21: Copies of 5500 forms filed with the IRS in the last five (5) years. If this has previously been provided, reports would not be required and the response should reflect the date(s) of submission.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 22: Describe criminal history of applicant. This information must be provided in addition to the information provided in Schedule 23 . Narrative information about the nature of charge or complaint and the disposition must be provided.	MANDATORY
<input checked="" type="checkbox"/>	Appendix 23: Pursuant to §1312 of the Gaming Act, the Board may not approve an application for licensure if any of its principals do not meet the character requirements of §1310, eligibility requirements, or purchases a controlling interest in a licensed gaming entity in violation of §1328. Has the applicant divested all interests that would prohibit licensure and eliminated any principal who does not meet the character or eligibility requirements? If not, provide an explanation. If it does not apply, write does not apply in response to this appendix.	MANDATORY

<input checked="" type="checkbox"/>	<p>Appendix 24: Pursuant to §1512 of the Gaming Act, no executive-level state employee, public official, party officer or immediate family member thereof shall have a financial interest in or be employed, directly or indirectly, by any licensed racing entity or licensed gaming entity, or any holding, affiliate, intermediary or subsidiary company, thereof, or any such applicant.</p> <p>Has any public official or other prohibited person possessed a financial interest in or been employed directly or indirectly by the applicant or related entity at or following the effective date of the PA Gaming Act?</p>	MANDATORY
<input checked="" type="checkbox"/>	Appendix 25: Pursuant to §1313 of the Gaming Act, provide information, documentation and assurances demonstrating that the applicant has sufficient business ability and experience to create and maintain a successful, efficient operation. Also provide biographies of the known individuals who will perform executive management duties and provide names of all proposed key employees and a description of their respective or proposed responsibilities as they become known.	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	Appendix 26: Pursuant to §1207(16) of the Gaming Act, the licensee must sell Pennsylvania state lottery tickets at its facility as near as practicable to the pay windows. Provide a proposed floor plan specifying the locations where state lottery tickets will be sold and the proximity of those locations to pay windows. (Note: This submission must be finalized and approved by the Board prior to operation).	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	Appendix 27: Provide a list of any hospital, place of worship, school, charitable institution, park, zoo or any similar place frequented by the public within 1500 feet of the proposed facility.	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	Appendix 28: Submit an initial narrative description of proposed administrative and accounting procedures, including a written system of internal control, pursuant to §1322 of the Gaming Act (Note: This submission must be finalized and approved by the Board prior to operation).	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	Appendix 29: Provide marketing plans and proposals and details of the proximity of the facility to its marketing service area.	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	Appendix 30: Provide copies of local zoning and land use approvals or a detailed explanation of the status of the request with copies of all filings.	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	Appendix 31: Pursuant to §1322 of the Gaming Act and/or Board regulations, submit a complete proposed site plan of the proposed licensed facility, inclusive of traffic studies and the parking plan, including the number of parking spaces, accompanied by architectural drawings and a proposed gaming floor layout. The gaming floor layout should clearly delineate the square footage of the area to be used for the placement of slot machines and table games as well as the square footage of the area that will not be used for the placement of slot machines and table games. Further, the gaming floor layout should delineate the square footage reserved for additional slot machines and table games permitted pursuant to §1210 and §13A11 of the Gaming Act. Pursuant to	INITIAL APPLICANTS ONLY

	<p>§1210, provide details of the proposed location of slot machines and table games at the facility and the number of slot machines and table games requested. Pursuant to §1207 of the Gaming Act, proposed surveillance camera locations both within and outside the proposed licensed facility should also be clearly delineated on the gaming floor layout as well as proposed security zones on the gaming floor and within and outside the licensed facility. (Note: The site plan, gaming floor layout and related surveillance and security proposals must be finalized and approved by the Board prior to operation).</p>	
<input checked="" type="checkbox"/>	Appendix 32: Provide details of planned retail and food venues for the facility and the identification of the operators of each retail food venue.	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	Appendix 33: Provide a local impact report, engineering reports and traffic studies, including details of any adverse impact on transportation, transit access, housing, water and sewer systems, local police and emergency service capabilities, existing tourism, including historical and cultural resources or other municipal service or resource. A copy of the local impact report shall be provided to each political subdivision in which the licensed facility will be located at least seven (7) days prior to the filing of the application for a slot machine license. The applicant shall file a proof of service with the Board.	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	Appendix 34: Provide details of land acquisition costs.	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	Appendix 35: Provide details of a compulsive or problem gambling plan.	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	Appendix 36: If a temporary facility is to be licensed, provide details of the temporary facility as well as a plan for how the licensee will transition to a permanent facility, including a date for the completion of the permanent facility.	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	<p>Appendix 37: As required by §1325 of the Gaming Act, applicant must address each item listed in this section. If an item does not apply, the applicant must state that in response to each item listed. Provide a plan, with details, for the following:</p> <p>(1) the location and quality of the proposed facility, including, but not limited to, road and transit access, parking and centrality to market service area;</p> <p>(2) the potential for new job creation and economic development which will result from granting a license to the Applicant;</p> <p>(3) the applicant's good faith plan to recruit, train and upgrade diversity in all employment classifications in the facility;</p> <p>(4) the applicant's good faith plan for enhancing the representation of diverse groups in the operation of its facility through the ownership and operation of business enterprises associated with or utilized by its facility or through the provision of goods or services utilized by its facility and through the participation in the ownership of the Applicant. Provide specific information regarding the diversity in ownership of the applicant, i.e. minorities, women;</p> <p>(5) the applicant's good faith effort to assure that all persons are accorded equality of opportunity in employment and contracting by it and any contractors, subcontractors, assignees, lessees, agents, gaming service providers and suppliers it may employ directly or indirectly;</p>	INITIAL APPLICANTS ONLY

	<p>(6) the history and success of the applicant in developing tourism facilities ancillary to gaming development, if applicable to the applicant;</p> <p>(7) the degree to which the applicant presents a plan for the project which will likely lead to the creation of quality, living-wage jobs and full-time permanent jobs for residents of this commonwealth generally and for residents of the host political subdivision in particular;</p> <p>(8) the record of the applicant and its developer in meeting commitments to local agencies, community-based organizations and employees in other locations;</p> <p>(9) the degree to which potential adverse effects which might result from the project, including costs of meeting the increased demand for public health care, child care, public transportation, affordable housing and social services, will be mitigated;</p> <p>(10) the record of the Applicant and its developer regarding compliance with</p> <ul style="list-style-type: none"> (i) Federal, state and local discrimination, wage and hour, disability and occupational and environmental health and safety laws as well as (ii) state and local labor relations and employment laws; (iii) the applicant's record in dealing with its employees and their representatives at other locations. 	
<input checked="" type="checkbox"/>	Appendix 38: Provide information demonstrating adequate financing for the proposed facility and terms of financing including payback period.	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	Appendix 39: Provide business and economic development plans and timetables, projected debt service expenses, projected EBITDA and Internal rate of return, projected annual gross terminal revenue, projected operating and capital expenses and defined gaming market and projected visitation.	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	<p>Appendix 40: Provide letters of reference from law enforcement agencies having jurisdiction in the applicant's and principal's main place of residence and place of business indicating that the agency does not have any pertinent information relating to the applicant or its principals. If the law enforcement agency has information pertaining to the applicant or its principals, the letter shall specify the details of the information.</p> <p>If no letters are received within 30 days of the request, the applicant or principal may submit a sworn or affirmed statement that the applicant or principal is a citizen in good standing in his jurisdiction of residence and primary place of business.</p>	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	<p>Appendix 41: If the applicant has held a gaming license in any jurisdiction, provide a letter of reference from the gaming or casino enforcement or regulatory agency in the other jurisdiction, specifying the experiences of the agency with the applicant, the applicant's associates and the applicant's gaming operation. If this has previously been provided, resubmissions would not be required and the response should reflect the previous date(s) of submission.</p> <p>If no letter is received within 30 days of request by the applicant, the applicant may submit a sworn or affirmed statement that the applicant's operation is in good standing with the regulatory agency.</p>	INITIAL APPLICANTS ONLY

<input checked="" type="checkbox"/>	Appendix 42: Provide an original payment bond or an original irrevocable letter of credit that includes a draw certificate, at the applicant's option, guaranteeing the applicant's payment of the slot machine license fee required by §1209 (For Category 1 and 2) and §1305 (Category 3) of the gaming act.	INITIAL CATEGORY 1,2 or 3 ONLY
<input checked="" type="checkbox"/>	Appendix 43: Provide a chart of existing gaming service providers* including the name, address, phone and tax identification number of the gaming service providers, types of goods and/or services provided by the gaming service providers, total dollar amount of business with gaming service providers in the past twelve (12) months and total dollar amount of business expected to be conducted with gaming service providers in the next twelve (12) months. * Gaming service providers is defined in 58 Pa. Code §401a.3.	INITIAL APPLICANTS ONLY
<input checked="" type="checkbox"/>	Appendix 44: Provide a summary of all persons who hold an ownership or other beneficial interest in the applicant and any such interest in any of its principal affiliates or principal entities required to be licensed or permitted in Pennsylvania; provided however, if any of the entities are publicly traded, only interests equal to or exceeding five percent must be disclosed. Ownership interest should be provided in a manner consistent with the Ownership Interest Report found on the Board's website under Licensure/Reports and General Information.	MANDATORY

Category 1 Applicants Only

<input type="checkbox"/>	Appendix 45: Pursuant to §1308(c) of the Gaming Act, the Board and the commissions shall not consider any application for a license if the applicant or any person affiliated with or directly related to the applicant is a party in any ongoing civil proceeding in which the party is seeking to overturn or otherwise challenge a decision or order of the Board or commissions pertaining to the approval, denial or conditioning of a license to conduct thoroughbred or harness horse race meetings respectively with pari-mutuel wagering or to operate slot machines. Is the applicant or affiliated person a party to any ongoing civil proceedings seeking to overturn a decision or order of the Board or commissions? If yes, the Board may not consider the application. If no, provide a statement asserting that the applicant is not challenging the Board or commission's decision or orders.	Initial Category 1 applicants only
<input type="checkbox"/>	Appendix 46: Provide a verification from the State Horse Racing Commission stating that the applicant has satisfied the license eligibility requirements under §1302 of the Gaming Act (relating to Conditional/Category 1 Slot Machine License) and that the applicant satisfies the live racing requirements under §1303 of the gaming act. If applicant is submitting a renewal application, provide a verification from the State Horse Racing Commission stating that the licensee has satisfied the live racing requirements under §1303(B) of the Gaming Act	MANDATORY
<input type="checkbox"/>	Appendix 47: Provide a statement detailing the applicant's regulatory history as a licensed racing entity under the jurisdiction of the State Horse Racing Commission including the applicant's history of suitability and compliance with the race horse industry reform act in the operation of the race track and nonprimary locations and the conduct of pari-mutuel wagering.	MANDATORY

<input type="checkbox"/>	Appendix 48: Provide a detailed plan for the management of accounts created from funds allocated under §1406 of the gaming act (relating to distributions from Pennsylvania race horse development fund).	MANDATORY
<input type="checkbox"/>	Appendix 49: Provide a detailed plan for the management and use of backside area improvement and maintenance accounts under §1404 of the gaming act (relating to distribution from licensee's revenue receipts). If applicant is submitting a renewal application, provide an updated plan or summary of the management and use of backside area improvement and maintenance accounts under §1404 of the Gaming Act	MANDATORY

Management Company Licensees Only

<input type="checkbox"/>	APPENDIX 22: PROVIDE A COPY OF THE MOST RECENT MANAGEMENT CONTRACT AS OUTLINED IN 58 PA. CODE §440.A.5	MANDATORY
<input type="checkbox"/>	APPENDIX 23: PROVIDE A COPY OF THE MOST RECENT BUSINESS PLAN WHICH SETS FORTH THE PARTIES' GOALS AND OBJECTIVES FOR THE TERM OF THE MANAGEMENT CONTRACT	MANDATORY

Category 4 Applicants Only

<input checked="" type="checkbox"/>	Appendix 46: Provide documentation to the Board that the applicant's location meets the eligibility requirements in 1305.1(B)	Initial Category 4 applicant only
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Name and Address									
First Name		Middle Name		Last Name		Suffix (Jr., Sr., etc.)			
Occupation				Title					
Address Line 1		Address Line 2							
Address Line 3		City		State/Province		Postal Code			
Country		Email Address		Phone Number		Fax Number		REDACTED	
Name and Address									
First Name		Middle Name		Last Name		Suffix (Jr., Sr., etc.)			
Occupation				Title					
Address Line 1		Address Line 2							
Address Line 3		City		State/Province		Postal Code			
Country		Email Address		Phone Number		Fax Number			
Name and Address									
First Name		Middle Name		Last Name		Suffix (Jr., Sr., etc.)			
Occupation				Title					
Address Line 1		Address Line 2							
Address Line 3		City		State/Province		Postal Code			
Country		Email Address		Phone Number		Fax Number			

PGCB-CAT-0118

Schedule 2: Other Names Used By Applicant

List all other names under which applicant has done business and give approximate time periods during which name was used.

[illegible]

Schedule 3: Addresses Currently Used by Applicant

Provide all addresses currently used by applicant.

Addresses					
Address Purpose					
Hollywood Casino at Penn National Race Course Physical Address					
Address Line 1		720 Bow Creek Road			
Address Line 3					
Country	Email Address	City	State/Province	Postal Code	Fax Number
USA	corporate @pngaming.com	Grantville	PA	17028	717-469-3397
Hollywood Casino at Penn National Race Course Marketing Address					
Address Line 1		777 Hollywood Boulevard			
Address Line 3					
Country	Email Address	City	State/Province	Postal Code	Fax Number
USA	N/A	Grantville	PA	17028	717-469-3397
Hollywood Casino York Physical Address					
Address Line 1		2899 Whiteford Road			
Address Line 3					
Country	Email Address	City	State/Province	Postal Code	Fax Number
USA	To be established	York	PA	17402	To be established
Address Purpose					
Address Line 1					
Address Line 3					
Country	Email Address	City	State/Province	Postal Code	Fax Number

Schedule 4: Addresses Used by Applicant

Provide all addresses, other than those listed on Schedule 3, which applicant has used or from which it was conducting business during the last ten (10) year period, and provide the approximate dates during which such addresses were used.

Address Purpose None.		Address Used From		Address Used To	
Address Line 1		Address Line 2			
Address Line 3		City	State/ Province	Postal Code	
Country	Email Address	Phone Number		Fax Number	
Address Purpose		Address Used From		Address Used To	
Address Line 1		Address Line 2			
Address Line 3		City	State/ Province	Postal Code	
Country	Email Address	Phone Number		Fax Number	
Address Purpose		Address Used From		Address Used To	
Address Line 1		Address Line 2			
Address Line 3		City	State/ Province	Postal Code	
Country	Email Address	Phone Number		Fax Number	
Address Purpose		Address Used From		Address Used To	
Address Line 1		Address Line 2			
Address Line 3		City	State/ Province	Postal Code	
Country	Email Address	Phone Number		Fax Number	

Schedule 5: Current Officers, Directors/Partners and Trusts

Provide the following information for all officers, directors/partners and trustees, grantors or beneficiaries of a trust that is required to be licensed as a principal under this chapter.

Name and Home Address			
First Name	Middle Name	Last Name	Suffix (Jr., Sr., etc.)
Timothy	Joseph	Wilmott	
Address Line 1		Date of Birth	
REDACTED		REDACTED	
Address Line 2			
City		State/Province	Postal Code
REDACTED		REDACTED	REDACTED
Country	Email Address	Phone Number	Fax Number
REDACTED	REDACTED	REDACTED	REDACTED
Applicant Address			
Applicant Name:		Current Title or Position	
Mountainview Thoroughbred Racing Association, LLC		President	
Address Line 1		Address Line 2	
825 Berkshire Boulevard			
Address Line 3		City	State/Province
		Wyomissing	PA
Country	Email Address	Phone Number	Postal Code
			19610
		Fax Number	
Dates, Titles and/or Positions Held (starting with current position and working backwards)			
From Date	To Date	Title or Position	Annual Compensation \$ Value
			Composition of Compensation
		Please refer to Timothy Wilmott's	(Specify salary, wages, commissions, fees, bonus or other)
		Personal Supplement and	
		Multi-Jurisdiction Form	
		on file with the Board.	

* Make additional copies and attach additional pages as necessary.

Schedule 5: Current Officers, Directors/Partners and Trusts

Provide the following information for all officers, directors/partners and trustees, grantors or beneficiaries of a trust that is required to be licensed as a principal under this chapter.

Name and Home Address			
First Name	Middle Name	Last Name	Suffix (Jr., Sr., etc.)
John	Vincent	Finamore	
Address Line 1		Address Line 2	
Address Line 3			
Country	Email Address	City	State/Province
REDACTED	REDACTED	REDACTED	REDACTED
		Phone Number	Fax Number
		REDACTED	REDACTED
Applicant Address			
Applicant Name:		Current Title or Position	
Mountainview Thoroughbred Racing Association, LLC		Vice President	
Address Line 1		Address Line 2	
825 Berkshire Boulevard			
Address Line 3		City	State/Province
		Wyomissing	PA
Country	Email Address	Phone Number	Fax Number
			19610
Dates, Titles and/or Positions Held (starting with current position and working backwards)			
From Date	To Date	Title or Position	Annual Compensation \$ Value
			Composition of Compensation
		Please refer to John Finamore's	(Specify salary, wages, commissions, fees, bonus or other)
		Personal Supplement and	
		Multi-Jurisdiction Form	
		on file with the Board.	

* Make additional copies and attach additional pages as necessary.

Schedule 5: Current Officers, Directors/Partners and Trusts

Provide the following information for all officers, directors/partners and trustees, grantors or beneficiaries of a trust that is required to be licensed as a principal under this chapter.

Name and Home Address									
First Name	Middle Name	Last Name	Suffix (Jr., Sr., etc.)	Date of Birth					
Daniel	Gerald	Ihm		REDACTED					
Address Line 1		REDACTED							
Address Line 3									
Country	Email Address	City	State/Province	Postal Code	Phone Number	Fax Number			
REDACTED	REDACTED	REDACTED	REDACTED	REDACTED	REDACTED	REDACTED			
Applicant Address									
Applicant Name:		Mountainview Thoroughbred Racing Association, LLC							
Address Line 1		720 Bow Creek Road							
Address Line 3									
Country	Email Address	City	State/Province	Postal Code	Phone Number	Fax Number			
		Grantville	PA	17028					
Dates, Titles and/or Positions Held (starting with current position and working backwards)									
From Date	To Date	Title or Position	Annual Compensation \$ Value	Composition of Compensation (Specify salary, wages, commissions, fees, bonus or other)					
		Please refer to Daniel Ihm's							
		Personal Supplement and							
		Multi-Jurisdiction Form							
		on file with the Board.							

* Make additional copies and attach additional pages as necessary.

Schedule 5: Current Officers, Directors/Partners and Trusts

Provide the following information for all officers, directors/partners and trustees, grantors or beneficiaries of a trust that is required to be licensed as a principal under this chapter.

Name and Home Address									
First Name	Middle Name	Last Name	Suffix (Jr., Sr., etc.)	Date of Birth					
Carl		Sottosanti		REDACTED					
Address Line 1		REDACTED							
Address Line 3									
Country	REDACTED	Email Address	City	REDACTED	State/Province	REDACTED	Postal Code	Fax Number	REDACTED
Applicant Address									
Applicant Name:		Mountainview Thoroughbred Racing Association, LLC							
Address Line 1		825 Berkshire Boulevard							
Address Line 3									
Country		Email Address	City	Wyomissing	State/Province	PA	Postal Code	Fax Number	19610
Dates, Titles and/or Positions Held (starting with current position and working backwards)									
From Date	To Date	Title or Position	Annual Compensation \$ Value	Composition of Compensation (Specify salary, wages, commissions, fees, bonus or other)					
		Please refer to Carl Sottosanti's							
		Personal Supplement and							
		Multi-Jurisdiction Form							
		on file with the Board.							

* Make additional copies and attach additional pages as necessary.

Provide the following information for all officers, directors/partners and trustees, grantors or beneficiaries of a trust that is required to be licensed as a principal under this chapter.

* Make additional copies and attach additional pages as necessary.

Schedule 6: Former (no longer active) Officers, Directors/Partners and Trustees

Provide the following information for all officers, directors/partners and trustees who are no longer actively involved with Applicant but who held a position during the last ten (10) year period.

Name and Home Address									
First Name	Middle Name	Last Name	Suffix (Jr., Sr., etc.)	Date of Birth					
Address Line 1		Address Line 2							
Address Line 3		City	State/Province	Postal Code					
Country	Email Address	Phone Number		Fax Number					
Applicant Address									
Applicant Name:		most recent Titles or Positions							
Address Line 1		Address Line 2							
Address Line 3		City	State/Province	Postal Code					
Country	Email Address	Phone Number		Fax Number					
Dates, Titles and/or Positions Held (starting with most recent and working backwards)									
From Date	To Date	Title or Position	Annual compensation & value	Reason for Leaving					
		Please refer to Schedule 4 of							
		Applicant's May 2017 license renewal							
		submission on file with the Board.							

* Make additional copies and attach additional pages as necessary.

Schedule 7: Employees Earning Over \$250,000 in Annual Compensation From Applicant

Provide the following information for all employees earning over \$250,000 in annual compensation from applicant. Do not include persons already listed on Schedule 5.

Name and Home Address									
First Name	Middle Name	Last Name	Suffix (Jr., Sr., etc.)		Date of Birth				
REDACTED		REDACTED			REDACTED				
Address Line 1		Address Line 2							
REDACTED									
Address Line 3		City	State/Province	Postal Code					
		REDACTED							
Country	Email Address	Phone Number	Fax Number						
REDACTED	REDACTED								
Applicant Address									
Applicant Name:		Current Title or Position							
REDACTED		REDACTED							
Address Line 1		Address Line 2							
REDACTED									
Address Line 3		City	State/Province	Postal Code					
		REDACTED							
Country	Email Address	Phone Number	Fax Number						
REDACTED									
Dates, Titles and/or Positions Held (starting with current position and working backwards)									
From Date	To Date	Title or Position	Annual Compensation & Value	Composition of Compensation	(Specify salary, wages, commissions, fees, bonus or other)				
REDACTED									
REDACTED									
REDACTED									
REDACTED									
REDACTED									

* Make additional copies and attach additional pages as necessary

Schedule 8: Bonus, Profit Sharing, Pension Retirement, Deferred Compensation & Similar Plans

Provide the following information and attach a description of plans as Appendix 3.

Plan					
Title or Name of Plan REDACTED					
Plan Trustee Name & Address					
Trustee Name					
Address Line 1		Address Line 2			
Address Line 3		City	State/Province	Postal Code	
Country	Email Address	Phone Number		Fax Number	
Plan Specifications					
Material Features of The Plan					
Methods of Financing Plan					
Class of Persons In Plan	Number of Individuals in each Class	Amount Distributed to each Class of Persons during the last fiscal year the plan was in effect			

* Make additional copies and attach additional pages as necessary.

Schedule 9: Stock Description (for C corporations, S-corporations, LLCs)

Provide the following information for all of applicant's stock.

Stock Type or Class	Stock Types/Classes Inventory				Terms, conditions, rights and privileges
	Number of Shares Authorized	Number of Shares Issued	Number of Shares Outstanding	Voting?	
REDACTED				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	

If the right of holders of any class of stock may be modified otherwise than by a vote of a majority or more of outstanding shares so affected, voting as a class, so state and explain briefly.

Schedule 10A: Interest of Current Partners (for Partnerships, LLPs, Limited Partnerships, LLCs)

Provide the following information for each partner.

Partner Name and Home Address					
First Name	Middle Name	Last Name	Suffix (Jr., Sr., etc.)	Date of Birth	
REDACTED					
Address Line 1					
Address Line 2					
Address Line 3					
Country		City	State/Province	Postal Code	
Email Address		Phone Number	Fax Number		
Partnership Interest					
Partner Type	Percentage of Ownership in applicant	Partnership Participation from	Description of Participation in the Operation of the Applicant		
<input type="checkbox"/> General/Full Partner <input type="checkbox"/> Limited Partner <input type="checkbox"/> Dormant/Silent/Secret Partner <input type="checkbox"/> Nominal Partner <input type="checkbox"/> Limited Liability Interest <input type="checkbox"/> Other: _____					

* Make additional copies and attach additional pages as necessary.

Schedule 10B: Interest of Former Partners (for Partnerships, LLPs, Limited Partnerships, LLCs)

Provide the following information for each former partner for the last ten (10) years.

Former Partner Name and Home Address						
First Name	Middle Name	Last Name	Suffix (Jr., Sr., etc.)	Date of Birth		
Address Line 1		Address Line 2				
Address Line 3		City	State/Province	Postal Code		
Country	Email Address	Phone Number		Fax Number		
Partnership Interest						
partner type	percentage of ownership applicant	partnership participation from	Partnership participation to	Description of participation in the operation of the applicant	reasons for and terms of separation	
<input type="checkbox"/> General/Full Partner <input type="checkbox"/> Limited Partner <input type="checkbox"/> Dormant/Silent/Secret Partner <input type="checkbox"/> Nominal Partner <input type="checkbox"/> Limited Liability Interest <input type="checkbox"/> Other _____						

* Make additional copies and attach additional pages as necessary.

Schedule 12: Long Term Debt

Describe the nature, type, covenants and priorities of all outstanding bonds, loans, mortgages, trust deeds, notes, debentures or other forms of indebtedness issued or executed (including loans made by shareholders), or to be issued or executed, by the applicant, which mature more than one year from the date of issuance or which, by their terms, are renewable for a period of more than one (1) year from the date of issuance. Attach description and documentation as Appendix 4.

Long Term Debt Instrument							
Line	Long Term Debt Instrument Type	Issue Date	Repayment Due Date	Principle	Annual Interest Rate	Renewable?	Description and Documentation Attached?
	<input type="checkbox"/> Bond <input type="checkbox"/> Loan <input type="checkbox"/> Mortgage <input type="checkbox"/> Trust Deed <input type="checkbox"/> Note <input type="checkbox"/> Debenture <input type="checkbox"/> Shareholder Loan <input type="checkbox"/> Other _____					<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Terms, covenants, conditions and priorities for this debt instrument Does not apply.							
Long Term Debt Instrument							
Line	Long Term Debt Instrument Type	Issue Date	Repayment Due Date	Principle	Annual Interest Rate	Renewable?	Description and Documentation Attached?
	<input type="checkbox"/> Bond <input type="checkbox"/> Loan <input type="checkbox"/> Mortgage <input type="checkbox"/> Trust Deed <input type="checkbox"/> Note <input type="checkbox"/> Debenture <input type="checkbox"/> Shareholder Loan <input type="checkbox"/> Other _____					<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Terms, covenants, conditions and priorities for this debt instrument							

Schedule 14: Other Indebtedness and Security Devices

Describe the nature, type, terms, conditions and covenants of all outstanding loans, mortgages, trust deeds, pledges, lines of credit, or other evidence of indebtedness or security devices utilized by applicant other than those described in Schedule 12. Attach description and documentation as Appendix 5.

Other Indebtedness and Security Devices	
REDACTED	

Schedule 15: Holder of Other Indebtedness

Provide the following information for each holder of any outstanding loan, mortgage, trust deed, pledge or other evidence of indebtedness or security devices utilized by applicant and described in response to schedule 14.

First Name REDACTED		Middle Name	Last Name		Suffix (Jr., Sr., etc.)	Date of Birth
Address Line 1						
Address Line 2						
Address Line 3						
Country	Email Address	City	State/Province	Postal Code	Phone Number	Fax Number
Type of Debt Instrument Held			Dollar Amount of Debt Held (Both Original and Current Balance)			
Name and Address						
First Name		Middle Name	Last Name		Suffix (Jr., Sr., etc.)	Date of Birth
Address Line 1						
Address Line 2						
Address Line 3						
Country	Email Address	City	State/Province	Postal Code	Phone Number	Fax Number
Type of Debt Instrument Held			Dollar Amount of Debt Held (Both Original and Current Balance)			

* Make additional copies and attach additional pages as necessary.

Schedule 16: Securities Options

Provide the following information and attach as Appendix 6 a detailed description of any options existing or to be created with respect to securities issued by applicant which description shall include, but not be limited to, the title and amount of securities subject to option, the year or years during which the options were or will be granted, the conditions under which the options were or will be granted, the consideration for granting the option and the year or years during which, and the terms under which, optionees became or will become, entitled to exercise the options, and when such options expire. (OR include copies of any outstanding option plans or proxy statements that provide the requested information.) NOTE: For the purpose of this schedule, option shall mean right, warrant or option to subscribe to or purchase any securities issued by applicant.

Security Option				
Option Name	Security Type or Class Optioned	Option Grant Years	Option Expiration Date	Copy of option plan or proxy statement attached as Appendix 6? <input type="checkbox"/> Yes <input type="checkbox"/> No
<p>Conditions under which option holder may become or will become entitled to exercise options</p> <div style="background-color: black; color: white; text-align: center; padding: 10px; font-size: 2em; font-weight: bold;">REDACTED</div>				
Security Option				
Option Name	Security Type or Class Optioned	Option Grant Years	Option Expiration Date	Copy of option plan or proxy statement attached as Appendix 6? <input type="checkbox"/> Yes <input type="checkbox"/> No
<p>Conditions under which option holder may become or will become entitled to exercise options</p>				
Security Option				
Option Name	Security Type or Class Optioned	Option Grant Years	Option Expiration Date	Copy of option plan or proxy statement attached As Appendix 6? <input type="checkbox"/> Yes <input type="checkbox"/> No
<p>Conditions under which option holder may become or will become entitled to exercise options</p>				

* Make additional copies and attach additional pages as necessary.

Provide the following information for persons holding the options described in Schedule 16.

* Make additional copies and attach additional pages as necessary.

Schedule 18: Other Principals

Provide the following information for all principals not otherwise disclosed on schedules 1, 5, 10, 10A, 11, 13 and 15. Include individuals and entities that have a 1% or greater indirect ownership interest in an applicant or licensee; however, individuals or entities who hold less than 5% of the voting securities of an applicant or licensee or an intermediary or holding company of an applicant or licensee that is a publicly traded company shall not be required to be licensed as a principal.

Name and Address					
Entity Name	Middle Name	Last Name	Suffix (Jr., Sr., etc.)	Date of Birth	
Address Line 1		Address Line 2			
Address Line 3		City	State/Province	Postal Code	
Country	Email Address	Phone Number		Fax Number	
Describe Nature, Type, Terms and Conditions of Interest in or Control Over Applicant					

* Make additional copies and attach additional pages as necessary.

Provide the following information with respect to each bank, savings and loan association or other financial institution, whether domestic or foreign, in which applicant has or has had an account over the last ten (10) year period regardless of whether such account was held in the name of applicant, a nominee of applicant or was otherwise under the direct or indirect control of applicant.

*** Make additional copies and attach additional pages as necessary.**

REDACTED

Account Name	Account #	Account Type	Date Opened	Date Closed	ABA
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REDACTED

REDACTED

Schedule 20: Contracts

Provide the following information with respect to all contracts or agreements (whether written or oral) that applicant has entered into within the past six (6) months, for goods and/or services in excess of \$100,000. Contracts and agreements disclosed elsewhere in this application need not be provided on this schedule.

Name and Address		Federal Employer Identification Number/tax identification number/social security number	
Name			
Address	Contract Start Date	Contract completion Date	
Address Line 1	Address Line 2		
Address Line 3	City	State/Province	Postal Code
Country	Email Address	Phone Number	Fax Number
Nature of contract or Agreement and goods and/or services to be provided		Terms of compensation	
REDACTED			

Schedule 21: Stock Held by Applicant

Provide the following information with respect to each company in which applicant holds stock.

[illegible]

Schedule 22: Insider Transactions

Provide the following information for each change in the beneficial ownership of the equity securities of applicant on the part of any person who is indirectly or directly a beneficial owner of more than ten percent (10%) of any class of an equity security of applicant or who is or was within that period a director or officer of applicant that occurred within the five (5) years preceding this application. [Include changes resulting from (a) gift, (b) purchase, (c) sale, (d) exercise of an option to purchase, (e) exercise of an option to sell, (f) grant or receipt of a put or (g) grant or receipt of a call.]

Name and Home Address					
First Name REDACTED	Middle Name	Last Name	Suffix (Jr., Sr., etc.)	Date of Birth	
Address Line 1		Address Line 2			
Address Line 3		City	State/Province	Postal Code	
Country	Email Address	Phone Number	Fax Number		
Position					
Insider Transaction Description					
Date of Transaction	Nature of Transaction	Number of Shares Involved	Dollar Value of Transaction	Other Parties (Names & Positions)	

* Make additional copies and attach additional pages as necessary.

If Applicant answered YES to questions 1, 1A or 1B on page 4, provide the following information:

Criminal History Incident						
Name of Case & Docket Number	Nature of Charge or Complaint	Date of Charge or Complaint	Disposition (Acquitted, Convicted, Dismissed, Etc.)	Name and Address of Law Enforcement Agency or Court Involved	Sentence	name of officer, director/partner, trustee or Key Employee
	Does not apply.					

Schedule 24: Testimony, Investigations or Polygraphs

If Applicant Answered YES to question 2 on page 4, provide the following information:

Testimony, Investigation or Polygraph Incident			
Name and Address of Court or Agency	Was testimony given? <input type="checkbox"/> Yes <input type="checkbox"/> No	Date on which testimony was given	Approximate time period of investigation
<div style="background-color: black; color: white; text-align: center; padding: 20px; font-size: 2em; font-weight: bold;">REDACTED</div>			
Nature of Proceedings or Investigation and name of officer, Director/Partner, trustee, Key Employee or Key Employee Qualifier involved.			
<div style="background-color: black; color: white; text-align: center; padding: 20px; font-size: 2em; font-weight: bold;">REDACTED</div>			
Testimony, Investigation or Polygraph Incident			
Name and Address of Court or other Agency	Was testimony given? <input type="checkbox"/> Yes <input type="checkbox"/> No	Date on which testimony was given	Approximate time period of investigation
Nature of Proceedings or Investigation and name of officer, Director/Partner, trustee, Key Employee or Key Employee Qualifier involved.			
Testimony, Investigation or Polygraph Incident			
Name and Address of Court or other Agency	Was testimony given? <input type="checkbox"/> Yes <input type="checkbox"/> No	Date on which testimony was given	Approximate time period of investigation
Nature of Proceedings or Investigation and name of officer, Director/Partner, trustee, Key Employee or Key Employee Qualifier involved.			

Testimony

REDACTED

Schedule 25: Existing Litigation

Provide the following information and attach as Appendix 7 a description of all existing civil litigation to which applicant, its parent, affiliate, or subsidiary is presently a party, whether in this Commonwealth or in another jurisdiction. Do not include any litigation in which the damages may not reasonably be expected to exceed \$100,000 or litigation in which damages may be expected to exceed \$100,000, but which involves claims against applicant which are fully and completely covered under an insurance policy held by the applicant with a licensed insurance carrier. This description must include the title and docket number of the litigation, the name and location of the court before which it is pending, the identity of all parties to the litigation and the general nature of all claims being made.

Existing Litigation	
Name of Case and Docket Number	Location and Name of Court before which litigation is pending
<p>Names of all parties to litigation</p> <p>None. Applicant is a wholly-owned subsidiary of Mountainview Thoroughbred Racing Association, LLC ("MTRA") which is a wholly-owned subsidiary of Penn National Gaming, Inc. ("PENN"). See MTRA's quarterly Statement of Conditions reports on file with the Board for litigation updates for PENN and MTRA.</p> <p>Nature of the claims</p>	
<p>Existing Litigation</p> <p>Location and Name of Court before which litigation is pending</p>	
<p>Names of all parties to litigation</p>	
<p>Nature of the claims</p>	

Schedule 26: Antitrust, Trade Regulation & Security Judgments; Statutory and Regulatory Violations

If applicant answered YES to questions 3 or 4 on page 4, provide the following information:

Violation		
Name of Case & Docket Number	Date of Judgment, Order or decree	Name and Address of Agency or Court Involved
Nature of Offense		
Disposition <input type="checkbox"/> Acquitted <input type="checkbox"/> Convicted <input type="checkbox"/> Dismissed <input type="checkbox"/> Other		
Nature of Judgment, Decree or Order		
None for Applicant. REDACTED		
REDACTED		
Violation		
Name of Case & Docket Number	Date of Judgment, Order or decree	Name and Address of Agency or Court Involved
Nature of Offense		
Disposition <input type="checkbox"/> Acquitted <input type="checkbox"/> Convicted <input type="checkbox"/> Dismissed <input type="checkbox"/> Other		
Nature of Judgment, Decree or Order		

ATTACHMENT REDACTED



Schedule 27: Bankruptcy or Insolvency Proceedings

If applicant answered YES to questions 5, 6 and/or 7 on page 4, provide the following:

Bankruptcy or Insolvency Proceedings			
Name of Case & Docket Number	Date Petition Filed or Relief Sought	Name and Address of Agency or Court Involved	
Nature of Judgment or Relief	Date Judgment or Relief Entered	Name of Court Appointed Receiver, agent or trustee	Date Receiver, agent or trustee appointed
	<p>Please refer to Penn National Gaming, Inc.'s May 2017 renewal submissions on file with the Board.</p>		

Schedule 28: Non-Gaming Licenses and Permits

If Applicant answered YES to question 8 on page 5, provide the following information for the last ten (10) year period:

Applicant Licensing (Government Issued – Non-gaming)				
Type of License or Permit	Name and Location of Government Agency	Application Number	Disposition	Date of Disposition
			<input type="checkbox"/> Granted <input type="checkbox"/> Denied <input type="checkbox"/> Pending <input type="checkbox"/> Expired <input type="checkbox"/> Suspended <input type="checkbox"/> Conditioned <input type="checkbox"/> Withdrawn <input type="checkbox"/> Revoked	If Granted, provide the license/permit number and expiration date. If Denied, Pending, Expired, Suspended, Conditioned, Withdrawn or Revoked, provide details. Applicant intends to acquire liquor, cigarette, lottery, and retail food facility licenses. This response will be supplemented when acquired.
			<input type="checkbox"/> Granted <input type="checkbox"/> Denied <input type="checkbox"/> Pending <input type="checkbox"/> Expired <input type="checkbox"/> Suspended <input type="checkbox"/> Conditioned <input type="checkbox"/> Withdrawn <input type="checkbox"/> Revoked	
			<input type="checkbox"/> Granted <input type="checkbox"/> Denied <input type="checkbox"/> Pending <input type="checkbox"/> Expired <input type="checkbox"/> Suspended <input type="checkbox"/> Conditioned <input type="checkbox"/> Withdrawn <input type="checkbox"/> Revoked	
			<input type="checkbox"/> Granted <input type="checkbox"/> Denied <input type="checkbox"/> Pending <input type="checkbox"/> Expired <input type="checkbox"/> Suspended <input type="checkbox"/> Conditioned <input type="checkbox"/> Withdrawn <input type="checkbox"/> Revoked	

Schedule 29: Gaming Licenses and Permits

If Applicant answered YES to question 9 on page 5 provide the following information for the last ten (10) year period:

Applicant Licensing (Government Issued –Gaming)				
Type of License or Permit	Name and Location of Government Agency	Application Number	Disposition	Date of Disposition
			<input type="checkbox"/> Granted <input type="checkbox"/> Denied <input type="checkbox"/> Pending <input type="checkbox"/> Expired <input type="checkbox"/> Suspended <input type="checkbox"/> Conditioned <input type="checkbox"/> Withdrawn <input type="checkbox"/> Revoked	
			<input type="checkbox"/> Granted <input type="checkbox"/> Denied <input type="checkbox"/> Pending <input type="checkbox"/> Expired <input type="checkbox"/> Suspended <input type="checkbox"/> Conditioned <input type="checkbox"/> Withdrawn <input type="checkbox"/> Revoked	
			<input type="checkbox"/> Granted <input type="checkbox"/> Denied <input type="checkbox"/> Pending <input type="checkbox"/> Expired <input type="checkbox"/> Suspended <input type="checkbox"/> Conditioned <input type="checkbox"/> Withdrawn <input type="checkbox"/> Revoked	
			<input type="checkbox"/> Granted <input type="checkbox"/> Denied <input type="checkbox"/> Pending <input type="checkbox"/> Expired <input type="checkbox"/> Suspended <input type="checkbox"/> Conditioned <input type="checkbox"/> Withdrawn <input type="checkbox"/> Revoked	

If granted, provide the license/permit number and expiration date. If DENIED, PENDING, EXPIRED, SUSPENDED, CONDITIONED, WITHDRAWN OR REVOKED, provide details.

None for Applicant. Applicant is a wholly-owned subsidiary of Mountaintop Thoroughbred Racing Association, LLC which is a wholly-owned subsidiary of Penn National Gaming, Inc. See attached.

Penn National Gaming, Inc.

CASINO AND RACETRACK LICENSES HELD

Current as of 8/23/18

Licensed Entity / FEIN	DBA	State	Regulatory Authority	Regulatory Agency Address	Type of License	Year PNGI Acquired/Built	License Exp. Date (Mo-Yr)
Penn Sanford, LLC - REDACTED	Sanford-Orlando Kennel Club	FL	Florida Dept of Pari-Mutuel Wagering	Northwood Centre 1940 North Monroe Street Tallahassee, FL 32399	Greyhound Racing	2007	June, 2019
SOKC, LLC - REDACTED	Sanford-Orlando Kennel Club	FL	Florida Dept of Pari-Mutuel Wagering	Northwood Centre 1940 North Monroe Street Tallahassee, FL 32399	Greyhound Racing	2007	June, 2019
Penn National Gaming, Inc. - REDACTED	N/A	IA	Iowa Racing and Gaming Commission	1300 Des Moines, Suite 100, Des Moines, IA 50309	Found Suitable	2018	August, 2020
Alton Casino, LLC - REDACTED	Alton Belle Casino	IL	Illinois Gaming Board	160 N. LaSalle, 3rd Floor Chicago, IL 60601 312.814.4710	Riverboat Gaming License	2005	November, 2019
HC Joliet, LLC - REDACTED	Hollywood Casino Joliet	IL	Illinois Gaming Board	160 N. LaSalle, 3rd Floor Chicago, IL 60601 312.814.4710	Riverboat Gaming License	2005	July, 2022
HC Aurora, LLC - REDACTED	Hollywood Casino Aurora	IL	Illinois Gaming Board	160 N. LaSalle, 3rd Floor Chicago, IL 60601 312.814.4710	Riverboat Gaming License	2003	December, 2018
Illinois Gaming Investors, LLC - REDACTED	Prairie State Amusements, LLC	IL	Illinois Gaming Board	160 N. LaSalle, 3rd Floor Chicago, IL 60601 312.814.4710	VGT License	2015	February, 2019
Indiana Gaming Company, LLC - REDACTED	Hollywood Casino Lawrenceburg	IN	Indiana Gaming Commission	101 W. Washington Street East Tower, Suite 1600 Indianapolis, IN 46204 317.233.0046	Riverboat Owner's License	2005	December, 2018

Licensed Entity / FEIN	DBA	State	Regulatory Authority	Regulatory Agency Address	Type of License	Year PNGI Acquired/Built	License Exp. Date (Mo-Yr)
Kansas Entertainment, LLC - REDACTED	Hollywood Casino Kansas (50 % joint venture with affiliate of International Speedway Co)	KS	Kansas Racing & Gaming Commission	700 SW Harrison, Suite 500 Topeka, KS 66603-3754	Found Suitable	2012	July, 2019
Kansas Entertainment, LLC - REDACTED	Hollywood Casino Kansas (50 % joint venture with affiliate of International Speedway Co)	KS	Kansas Lottery	128 N Kansas Avenue, Topeka, KS 66603	Lottery Facility Manager	2012	July, 2019
Penn National Gaming, Inc. - REDACTED	N/A	LA	Louisiana Gaming Board/State Police	7919 Independence Blvd., Bldg A, Baton Rouge, LA 70806	Found Suitable	2018	July, 2023
Plainville Gaming and Redevelopment, LLC - REDACTED	Plainridge Racecourse	MA	Massachusetts Gaming Commission, Division of Racing	84 State St., Suite 720, Boston, MA 02109; 617-979-8400	Harness Horse Race License	2013	October, 2019
Plainville Gaming and Redevelopment, LLC - REDACTED	Plainridge Park Casino	MA	Massachusetts Gaming Commission	84 State St., Suite 720, Boston, MA 02109; 617-979-8400	Category 2 Slots Parlor License	2014	June, 2020
HC Bangor, LLC - REDACTED	Hollywood Slots, Hotel & Raceway	ME	Maine Harness Racing Commission	28 State House Station Augusta, Maine 04333-0028 207.287.3221	Live Racing	2004	December, 2018
HC Bangor, LLC - REDACTED	Hollywood Slots, Hotel & Raceway	ME	Maine Gambling Control Board	45 Commerce Drive 87 SHS Augusta, Maine 04333-0087 207.626.3900	Casino operator license	2004	February, 2019
St. Louis Gaming Ventures, LLC - REDACTED	Hollywood Casino St. Louis	MO	Missouri Gaming Commission	3417 Knipp Drive Jefferson City, MO 65109 573.526.4080	Class B Gaming License	2012	Oct-18
The Missouri Gaming Company, LLC - REDACTED	Argosy Riverside Casino	MO	Missouri Gaming Commission	3417 Knipp Drive Jefferson City, MO 65109 573.526.4080	Class B Gaming License	2005	Feb-22

Licensed Entity / FEIN	DBA	State	Regulatory Authority	Regulatory Agency Address	Type of License	Year PNGI Acquired/Built	License Exp. Date (Mo-Yr)
Penn National Gaming, Inc. - REDACTED	N/A	MO	Missouri Gaming Commission	3417 Knipp Drive, Jefferson City, MO 65109; 573-526-4080	Class A License	2005	Feb-22
BSLO, LLC - REDACTED	Hollywood Casino Gulf Coast	MS	Mississippi Gaming Commission	620 North Street, Suite 200 Jackson, MS 39202 601.576.3800	Gaming/Operator License	2000	October, 2019
BTN, LLC - REDACTED	Boomtown Casino Biloxi	MS	Mississippi Gaming Commission	620 North Street, Suite 200 Jackson, MS 39202 601.576.3800	Gaming/Operator License	2000	October, 2019
HWCC-Tunica, LLC - REDACTED	Hollywood Casino Tunica	MS	Mississippi Gaming Commission	620 North Street, Suite 200 Jackson, MS 39202 601.576.3800	Gaming/Operator License	2003	October, 2019
RIH Acquisitions MS II, LLC	Bally's Casino Tunica	MS	Mississippi Gaming Commission	620 North Street, Suite 200 Jackson, MS 39202 601.576.3800	Gaming/Operator License	2017	April, 2020
RIH Acquisitions MS I, LLC	Resorts Casino Tunica	MS	Mississippi Gaming Commission	620 North Street, Suite 200 Jackson, MS 39202 601.576.3800	Gaming/Operator License	2017	April, 2020
FR Park Racing, L.P.	Freehold Race (49.95% owned by PNG but not managed by PNG)	NJ	New Jersey Racing Commission	140 East Front Street 4th Floor PO Box 088 Trenton, NJ 08625	Horse Race License	1999	December, 2018
Penn NJ OTW, LLC - REDACTED	Favorites at Gloucester Township	NJ	New Jersey Racing Commission	140 East Front Street 4th Floor PO Box 088 Trenton, NJ 08625	Horse Race License	2014 (Feb.)	December, 2018
Zia Park LLC - REDACTED	Zia Park and Black Gold Casino	NM	New Mexico Gaming Control Board	4900 Alameda Boulevard NE Albuquerque, NM 87113 505.841.9700	Operator License	2007	Apr-19
Zia Park LLC - REDACTED	Zia Park and Black Gold Casino	NM	Racing Commission of the State of NM	4900 Alameda Boulevard NE Suite A Albuquerque, NM 87113 505.222.0700	Simulcast License	2007	December, 2018

Licensed Entity / FEIN	DBA	State	Regulatory Authority	Regulatory Agency Address	Type of License	Year PNGI Acquired/Built	License Exp. Date (Mo-Yr)
Penn National Gaming, Inc. - REDACTED	N/A	NM	New Mexico Gaming Control Board	4900 Alameda Boulevard NE, Albuquerque, NM 87113; 505-841-9700	Operator License	2007	April, 2019
Zia Park LLC - REDACTED	Zia Park and Black Gold Casino	NM	Racing Commission of the State of NM	See above	Horse Race License	2007	December, 2018
Penn ADW, LLC	Hollywood Races	NY	NY Gaming Commission	One Broadway Center, Schenectady, NY 12305	Temporary Simulcast and Multi-Jurisdictional	March, 2017	January, 2019
LVGV, LLC - REDACTED	The M Resort Spa Casino	NV	Nevada Gaming Commission	1819 College Parkway Carson City, NV 89706	Finding of Suitability	2011	No expiration date
Tropicana Las Vegas, Inc. - REDACTED	Tropicana	NV	Nevada Gaming Commission	1819 College Parkway Carson City, NV 89706	Finding of Suitability/Casino License	2015 (Aug)	No expiration date
Youngstown Real Estate Ventures, LLC - REDACTED	Hollywood Gaming at Mahoning Valley Racecourse	OH	Ohio State Racing Commission	77 S. High St. - 18th Floor Columbus, OH 43215-6108 614.466.2757	Permit to Conduct A Commercial Horse Race Meeting	2014 (Sept)	Thoroughbred #1 - Permit C18-11 - expires 7/24/18; Thoroughbred #2 - Permit C18-12 - expires 12/31/18; Quarter Horse #1 - Permit C18-13 - expires 10/22/18;
Youngstown Real Estate Ventures, LLC - REDACTED	Hollywood Gaming at Mahoning Valley Racecourse	OH	Ohio Lottery Commission	615 W. Superior Ave. Cleveland, OH 44113	Video Lottery Sales Agent	2014 (Sept)	September, 2018
Dayton Real Estate Ventures, LLC - REDACTED	Hollywood Gaming at Dayton Raceway	OH	Ohio Lottery Commission	616 W. Superior Ave., Cleveland OH 44113	Video Lottery Sales Agent	2014 (Aug)	August, 2019

Licensed Entity / FEIN	DBA	State	Regulatory Authority	Regulatory Agency Address	Type of License	Year PNGI Acquired/Built	License Exp. Date (Mo-Yr)
Dayton Real Estate Ventures, LLC - REDACTED	Hollywood Gaming at Dayton Raceway	OH	Ohio State Racing Commission	77 S. High St. - 18th Floor Columbus, OH 43215-6108 614.466.2757	Permit to Conduct A Commercial Horse Race Meeting	2014 (Aug)	Harness #1 Permit C18-61- expires 7/31/18; Harness #2 Permit C18-62- expires 12/31/18
Toledo Gaming Ventures, LLC - REDACTED	Hollywood Casino Toledo	OH	Ohio Casino Control Commission	10 West Broad Street, 6th Floor Columbus OH, 43215	Casino operator license	2012	Oct-19
Central Ohio Gaming Ventures, LLC - REDACTED	Hollywood Casino Columbus	OH	Ohio Casino Control Commission	10 West Broad Street, 6th Floor Columbus OH, 43215	Casino operator license	2012	Pending Renewal
Penn Tenant, LLC - REDACTED	N/A	OH	Ohio Casino Control Commission	10 West Broad Street, 6th Floor Columbus OH, 43215	Gaming-Related Vendor License	2013	August, 2019
Mountainview Thoroughbred Racing Association REDACTED	Hollywood Casino at Penn National Race Course	PA	Pennsylvania Gaming Control Board	PO Box 69060 Harrisburg, PA 17106-9060 717.346.8300	Gaming	2008	Pending Renewal
Mountainview Thoroughbred Racing Association REDACTED	Hollywood Casino at Penn National Race Course	PA	Pennsylvania State Horse Racing Commission	Agricultural Building, Room 304 2301 N. Cameron, St. Harrisburg, PA 17110	Horse Race License	2008	August, 2019
Penn ADW, LLC	Hollywood Races	PA	Pennsylvania Horse Racing Commission	Agricultural Building, Room 304 2301 N. Cameron, St. Harrisburg, PA 17110	Racing Vendor License #900000249	2017	January, 2019
Marquee by Penn, LLC	VGT	PA	Pennsylvania Gaming Control Board	PO Box 69060 Harrisburg, PA 17106-9060 717.346.8300	VGT License	2018	June, 2023

Licensed Entity / FEIN	DBA	State	Regulatory Authority	Regulatory Agency Address	Type of License	Year PNGI Acquired/Built	License Exp. Date (Mo-Yr)
Houston Gaming Ventures, Inc. - REDACTED	Sam Houston Race Park (50% owned by PNG and managed by PNG)	TX	Texas Racing Commission	8505 Cross Park Dr. #110 Austin, TX 78754	Found Suitable as an owner	2011	n/a
Sam Houston Race Park, LLC - REDACTED	Sam Houston Race Park	TX	Texas Racing Commission	8505 Cross Park Dr. #110, Austin, TX 78754	Class 1 Horse Racetrack	2011	No expiration date
Valley Race Park, LLC - REDACTED	Valley Race Park	TX	Texas Racing Commission	8505 Cross Park Dr. #110, Austin, TX 78754	Greyhound Racetrack	2011	No expiration date
Hill Lane, LLC - REDACTED	Manor Downs	TX	Texas Racing Commission	8505 Cross Park Dr. #110, Austin, TX 78754	Class 2 Horse Racetrack - Inactive	2016	Inactive Licenses are reviewed/renewed annually by racing
PNGI Charles Town Gaming, LLC - REDACTED	Hollywood Casino at Charles Town Races	WV	West Virginia Racing Commission	310 Lee Road Follansbee, WV 26037 304.558.2150	Race Meeting	1997	December, 2018
PNGI Charles Town Gaming, LLC - REDACTED	Hollywood Casino at Charles Town Races	WV	West Virginia Lottery Commission	312 MacCorkle Avenue, S.E. Charleston, WV 25327 304.558.0500	Casino License	1997	June, 2019
PENDING OR EXPECTED FUTURE LICENSES							
Pinnacle Entertainment	On December 18, 2017, Penn National Gaming, Inc. announced it had have entered into a definitive agreement under which Penn National will acquire Pinnacle entertainment in a cash and stock transaction. The acquisition will result in Penn National acquiring ownership of an additional 11 casino properties located throughout the country.						

Schedule 30: Applicant's Contributions and Disbursements

If Applicant answered YES to any of questions 10 through 16 on pages 5 and 6, provide the following information for any present or former directors, officers, employees or third parties who would have knowledge or information of the contributions and/or disbursements during the last ten (10) year period:

Also provide the name, address, date formed and officers of any political action committee during the last ten (10) year period. provide copies of any reports filed by the committee during the last five (5) years, whether federal or state.

If "independent expenditures" have been made, provide a detailed list showing: 1-Date made, 2-recipient of the expenditure, 3-Amount of the expenditure 4-The election it was intended to influence.

Name and Address									
First Name		Middle Name		Last Name		Suffix (Jr., Sr., etc.)			
Address Line 1		Address Line 2							
Address Line 3		City		State/Province		Postal Code			
Country		Email Address		Phone Number		Fax Number			
Name and Address									
First Name		Middle Name		Last Name		Suffix (Jr., Sr., etc.)			
Address Line 1		Address Line 2							
Address Line 3		City		State/Province		Postal Code			
Country		Email Address		Phone Number		Fax Number			
Name and Address									
First Name		Middle Name		Last Name		Suffix (Jr., Sr., etc.)			
Address Line 1		Address Line 2							
Address Line 3		City		State/Province		Postal Code			
Country		Email Address		Phone Number		Fax Number			
Nature of contributions or disbursements									
<div>REDACTED</div>									

Penn National Gaming, Inc.
2018 Corporate Political Contributions
through 8/27/18

State	Candidate or Committee Name	Amount	Quarter
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ATTACHMENT REDACTED

CONFIDENTIAL

Schedule 31: Business Background Part 1

Description of Present Business	
Applicant is a wholly-owned subsidiary of Mountainview Thoroughbred Racing Association, LLC that will operate Hollywood Casino York at a Category 4 licensed facility.	
Does not apply.	
Description of Competitive Conditions	
Does not apply.	
Principal Products Produced and/or Services Rendered	
Does not apply.	
Availability of Raw Materials, Critical Technology & Employees	
Does not apply.	
Intellectual Property Owned by Applicant & Importance to Business	
Does not apply.	

Schedule 32: Business Background Part 2

Description of Business Developments Including Bankruptcy, Receivership or Similar Proceedings
Does not apply.
Description of Any Other Material Reorganization, Readjustment or Succession of Applicant or Any of its Subsidiaries OR Acquisitions
Does not apply.
History of Previous Business Conducted by Applicant
Does not apply.

Signature Document Section

APPLICATION FOR PENNSYLVANIA TAX CLEARANCE REVIEW

Completion of this form is a condition of this application and will authorize the Pennsylvania Department of Revenue ("DOR") and the Department of Labor and Industry ("DLI") to review the tax records of the person and/or entity as part of the licensing evaluation by the Pennsylvania Gaming Control Board ("Board"). Your signature on this form also represents a waiver of confidentiality of tax information. Your signature allows the DOR and DLI to provide tax information to the Board and its authorized investigatory agents.

REDACTED

Name as Listed on Tax Return

Employer Identification Number/Tax
Identification Number/Social Security
Number

REDACTED

Address

City

State

Zip Code

I certify that I am the individual whose tax records are to be reviewed. If the tax records are for an entity, I certify that I am the authorized signatory for the Applicant.

REDACTED

CEO/Applicant Signature

Telephone Number

Date

DIVERSITY PLAN STATEMENT

Slot Machine License Applicant Name Mountainview Thoroughbred Racing Association, LLC

Slot Machine License Applicant Mailing Address 720 Bow Creek Road, Grantville, PA 17028

Slot machine License Applicant Phone Number REDACTED

Equal Opportunity Officer Alex M. Hvizda

Date Submitted 7 20 2018

Pursuant to Section 1325(b)(1) of the Pennsylvania Race Horse Development and Gaming Act:

Applicant has developed and implemented a diversity plan.

A Copy of this plan is attached.

REDACTED

Signature of CEO

Daniel G. Ihm

Printed Name

9 10 18

Date

ATTACHMENT REDACTED





Pennsylvania Gaming Control Board
Licensed Entity Representation Registration

A Licensed Entity Representative includes any person acting on behalf of or representing the interest of any applicant, licensee, permittee or registrant, including but not limited to an attorney (outside counsel representing the applicant/licensee), agent or lobbyist regarding any matter which may reasonably be expected to come before the Pennsylvania Gaming Control Board ("PGCB"). Please include representatives from law firms, public relations firms, representatives from government relations firms and traffic experts. If any law firms were sub-contracted, individuals from these firms who directly represented the applicant/licensee must also complete this form.

NAME: See Licensed Entity Representative Report
FIRM: Filed Quarterly by Applicant with the Board.
ADDRESS: _____
CITY: _____
STATE AND ZIP CODE: _____
TELEPHONE: _____
ENTITY REPRESENTED: _____

Pursuant to 4 Pa.C.S., §1202.1(b), I am required to register as a licensed entity representative with the PGCB. I have an ongoing duty to regularly update this information and failure to do so could subject my firm and me to a penalty. I also acknowledge that by signing this document, all information contained herein will be made available for review by the public and that such information will be posted on the PGCB website pursuant to 4 Pa.C.S., §1202.1(3).

SIGNATURE: _____

REDACTED

DATE: _____

9/10/18

Commonwealth of Pennsylvania

Certification by an Applicant of Safeguards and Policies
Preventing Violations of Section 1513

Instructions: This affidavit is to be completed under oath by the Chief Executive Officer or other appropriate individual of the applicant pursuant to 4 Pa.C.S. §1513(b). Attach a copy of the plan that has been developed pursuant to 4 Pa.C.S. §1513(b) which sets forth the internal safeguards and policies. An affidavit must be completed annually and provided to both the PGCB and to the Department of State, Bureau of Commissions, Elections and Legislation.

AFFIDAVIT

State of **REDACTED**)
County of **REDACTED**) SS:
)

Before me, the undersigned notary public, this day, personally appeared **REDACTED**, Chief Operating Officer or other appropriate representative of **REDACTED** an applicant, to me known or proven, who being duly sworn according to law, deposes the following:

☒ I hereby certify that **REDACTED** (name of applicant) has developed and implemented internal safeguards and policies intended to prevent a violation of 4 Pa.C.S. §1513 (relating to Political Influence). a copy of these internal safeguards and policies has been included with this affidavit.

I hereby certify that I am authorized to execute this certification on behalf of the entity named above and that the information contained herein is true and correct and that there is no misrepresentation, falsification or omissions in this affidavit. I am further aware that any false or misleading statement or omitted information will be cause for penalties under 4 Pa.C.S. §1513(c) and may be subject to criminal penalties under 18 Pa.C.S. § 4902, 4903 and 4904.

REDACTED

Signature of Applicant and Title

Sworn to and subscribed before me this

REDACTED

Pennsylvania Political Contributions Form

In the chart below, provide the required information for all political contributions, monetary or in-kind, to a candidate for nomination or election to any public office in this Commonwealth, or to any political committee or state party in this commonwealth or to any group, committee or association organized in support of any such candidate, political committee or state party on or after the date your entity's application was submitted to the Pennsylvania Gaming Control Board (Board). The applicant or licensee must list political contributions by its affiliates, intermediaries, subsidiaries, holding companies, principals and key employees who hold similar gaming licenses in other jurisdictions. Do not include contributions to candidates for federal offices or to committees or groups organized solely in support of federal candidates.

If there is more than one contribution to the same candidate, political committee, state party, etc., separate entries must be listed for each contribution.

NOTE: If you need space for additional entries, please make additional copies of this form.

Date of Contribution	Name and Address of the Candidate, Political Committee or State Party, or Group, Committee or Association Organized in Support of such Candidate, Political Committee or State Party	Amount or Value of Contribution
	None.	

REDACTED

Signature of CEO/Authorized Signatory

Daniel G. Ihm

Printed Name of CEO/Authorized Signatory – Title

4/10/18
Date Signed

*If a person other than the CEO of this entity is designated to execute this document, the Board must be provided with a resolution or an affidavit, certified as true and correct, identifying the individual so designated, authorizing that individual to execute the document on behalf of both the entity and the CEO.

Financial Statement Certification

For the Period Ended:

REDACTED

REDACTED

Name of Slot Machine Licensee

I have reviewed and examined the attached financial statement.

To the best of my knowledge, the financial statements, and other information included in this report, are accurate and fairly present in all material respects, the financial condition, results of operations and cash flows of the applicant as of, and for, the periods presented in this report.

REDACTED

Date

REDACTED

Printed name of Chief Financial Officer

REDACTED

Date

REDACTED

Printed name of Chief Operating Officer

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 1

CONFIDENTIAL

DESCRIPTION OF BUSINESS

Applicant holds a Category 1 casino licensee in good standing. Applicant will operate its Category 4 casino as Hollywood Casino York.

HOLLYWOOD CASINO YORK

APPENDIX 2

CONFIDENTIAL

DESCRIPTION OF ANY FORMER BUSINESS

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 3

CONFIDENTIAL

BONUS, PROFIT SHARING, PENSION,
RETIREMENT, DEFERRED COMPENSATION AND SIMILAR PLANS

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 4

CONFIDENTIAL

LONG TERM DEBT

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 5

CONFIDENTIAL

OTHER INDEBTEDNESS OR ANY CHANGES TO EXISTING OTHER INDEBTEDNESS

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 6

CONFIDENTIAL

SECURITIES OPTIONS OR CHANGES TO EXISTING SECURITIES OPTIONS AGREEMENTS

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 7

CONFIDENTIAL

EXISTING LITIGATION

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 8

CONFIDENTIAL

AUDITED FINANCIAL STATEMENTS FOR THE LAST FISCAL YEAR

REDACTED

HOLLYWOOD
Casino

AT PENN NATIONAL RACE COURSE

ATTACHMENT REDACTED



HOLLYWOOD CASINO YORK

APPENDIX 9

CONFIDENTIAL

AUDITED FINANCIAL STATEMENTS FOR THE LAST FIVE YEARS

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 10

CONFIDENTIAL

ANNUAL REPORTS FOR THE LAST FIVE YEARS

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 11

CONFIDENTIAL

ALL SEC FILINGS

None for Applicant. Please refer to Penn National Gaming, Inc.'s May 2017 renewal submissions on file with the Board and Penn National Gaming, Inc.'s website at www.pngaming.com.

HOLLYWOOD CASINO YORK

APPENDIX 12

CONFIDENTIAL

LAST QUARTERLY UNAUDITED FINANCIAL STATEMENT

REDACTED

ATTACHMENT REDACTED



HOLLYWOOD CASINO YORK

APPENDIX 13

CONFIDENTIAL

INTERIM REPORTS

None for Applicant. Please refer to Penn National Gaming, Inc.'s May 2017 renewal submissions on file with the Board and Penn National Gaming, Inc.'s website at www.pngaming.com.

HOLLYWOOD CASINO YORK

APPENDIX 14

CONFIDENTIAL

LAST DEFINITIVE PROXY OR INFORMATION STATEMENT (SEC)

None for Applicant. Please refer to Penn National Gaming, Inc.'s May 2017 renewal submissions on file with the Board and Penn National Gaming, Inc.'s website at www.pngaming.com.

HOLLYWOOD CASINO YORK

APPENDIX 15

CONFIDENTIAL

ALL REGISTRATION STATEMENTS FOR THE LAST FIVE YEARS (SEC)

None for Applicant. Please refer to Penn National Gaming, Inc.'s May 2017 renewal submissions on file with the Board and Penn National Gaming, Inc.'s website at www.pngaming.com.

HOLLYWOOD CASINO YORK

APPENDIX 16

CONFIDENTIAL

ALL OTHER REPORTS PREPARED IN THE LAST FIVE YEARS BY INDEPENDENT AUDITORS

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 17

CONFIDENTIAL

CERTIFIED COPIES OF ARTICLES OF INCORPORATION, CHARTER,
BYLAWS, PARTNERSHIP AGREEMENT, OR OTHER OFFICIAL DOCUMENTS

Please refer to Applicant's May 2017 renewal submissions on file with the Board.

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS
401 NORTH STREET, ROOM 206
P.O.BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.PA.GOV

CT Corporation System
Counter Pickup
PA

Hollywood Casino York

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WE WOULD LIKE TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEBSITE AT www.dos.pa.gov/BusinessCharities OR YOU MAY CONTACT US BY TELEPHONE AT (717)787-1057. INFORMATION REGARDING BUSINESS AND UCC FILINGS CAN BE FOUND ON OUR SEARCHABLE DATABASE AT www.corporations.pa.gov/Search/CorpSearch .

ENTITY NUMBER : 6762084

PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

☐ Return document by mail to:
CT - COUNTER
Name 70812364 WO 1
Address nicole.grimme@woferskinner.com
City _____ State _____ Zip Code _____
☒ Return document by email to: _____

Registration of Fictitious Name
DSCB:54-311
(rev. 2/2017)



TCO180824MC0380

Read all instructions prior to completing. This form may be

Fee: \$70

☐ I qualify for a veteran/reservist-owned small business fee exemption (see instructions)

In compliance with the requirements of 54 Pa.C.S. § 311 (relating to registration), the undersigned entity(ies) desiring to register a fictitious name under 54 Pa.C.S. Ch. 3 (relating to fictitious names), hereby state(s) that:

1. The fictitious name is:
Hollywood Casino York

2. A brief statement of the character or nature of the business or other activity to be carried on under or through the fictitious name is:

Develop, own, manage or operate gaming facilities and any other related business and legal functions

3. The address, including number and street, if any, of the principal place of business (P.O. Box alone is not acceptable):

825 Berkshire Blvd.	Wyomissing	PA	19610	Berks
Number and street	City	State	Zip	County

4. The name and address, including number and street, if any, of each individual interested in the business is:

Name	Number and Street	City	State	Zip

2018 AUG 24 AM 9:50

PA DEPT OF STATE

DSCB:54-311-2

5. Each entity, other than an individual, interested in such business is (are):

Mountainview Thoroughbred Racing Association, LLC	Limited Liability Company	Pennsylvania
Name	Form of Organization	Organizing Jurisdiction
825 Berkshire Boulevard, Wyomissing, PA 19610		

Principal Office Address

c/o CT Corporation System

Dauphin

PA Registered Office, If any

Name	Form of Organization	Organizing Jurisdiction
------	----------------------	-------------------------

Principal Office Address

PA Registered Office, If any

6. The applicant is familiar with the provisions of 54 Pa.C.S. § 332 (relating to effect of registration) and understands that filing under the Fictitious Names Act does not create any exclusive or other right in the fictitious name.

7. (Optional): The name(s) of the agent(s), if any, any one of whom is authorized to execute amendments to, withdrawals from or cancellation of this registration in behalf of all then existing parties to the registration, is (are):

IN TESTIMONY WHEREOF, the undersigned have caused this Application for Registration of Fictitious Name to be executed this

23rd day of August, 2018

Individual Signature

Individual Signature

Individual Signature

Individual Signature

Mountainview Thoroughbred Racing Association, LLC

Entity Name

Entity Name

Signature

Signature

Carl Sotomanti, Secretary

Title

Title

HOLLYWOOD CASINO YORK

APPENDIX 18

CONFIDENTIAL

CURRENT OWNERSHIP TABLE OF ORGANIZATION

Applicant is a wholly-owned subsidiary of a publicly-traded entity, Penn National Gaming, Inc.

Schedule of Ownership Interests as of June 30, 2018

% Ownership	Ownership Entity
	<i>Ownership of Mountainview Thoroughbred Racing Association, LLC (Applicant)</i>
100.00%	<i>Penn Tenant, LLC</i>
	<i>Ownership of Penn Tenant, LLC</i>
100.00%	<i>Penn National Gaming, Inc.</i>
	<i>Ownership of Penn National Gaming, Inc.</i>
59.323%	Publicly Traded stock held by exempt shareholders that each own less than 5% (see 58 Pa.Code § 433.3(e))
5.737%	The Carlino Family Trust
8.883%	FMR, LLC (exempt institutional investor – see 58 Pa.Code § 433a.5)
5.725%	BAMCO, Inc. Baron Capital Management (exempt institutional investor – see 58 Pa.Code § 433a.5)
9.679%	BlackRock Inc. (exempt institutional investor – see 58 Pa.Code § 433a.5)
9.291%	Vanguard Group, Inc. (exempt institutional investor – see 58 Pa.Code § 433a.5)
1.362%	Corporate Directors and Executives
100.00%	Total

HOLLYWOOD CASINO YORK

APPENDIX 19

CONFIDENTIAL

FUNCTIONAL TABLE OF ORGANIZATION AND NAMES OF EMPLOYEES
EARNING IN EXCESS OF \$250,000 IN ANNUAL COMPENSATION

REDACTED

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 20

CONFIDENTIAL

ANNUAL FEDERAL TAX RETURN AND ANNUAL PENNSYLVANIA TAX RETURNS

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 21

CONFIDENTIAL

5500 FORMS FILED WITH THE IRS IN THE LAST FIVE YEARS

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 22

CONFIDENTIAL

CRIMINAL HISTORY

None.

HOLLYWOOD CASINO YORK

APPENDIX 23

CONFIDENTIAL

CHARACTER AND ELIGIBILITY REQUIREMENTS

Does not apply.

HOLLYWOOD CASINO YORK

APPENDIX 24

CONFIDENTIAL

SECTION 1512 STATEMENT

REDACTED

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 25

CONFIDENTIAL

BUSINESS ABILITY AND EXPERIENCE

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 26

CONFIDENTIAL

LOTTERY TICKETS

REDACTED

HOLLYWOOD CASINO YORK

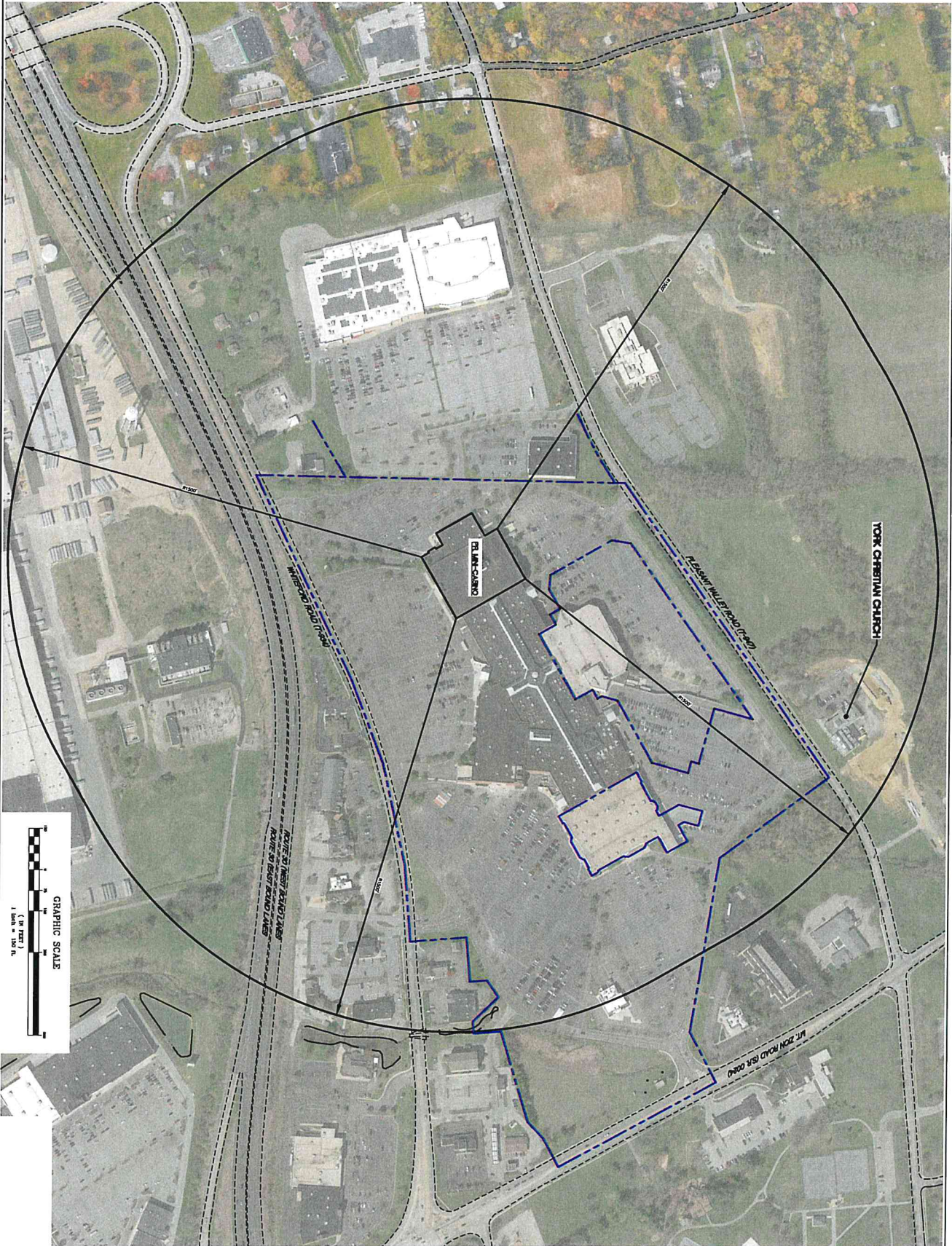
APPENDIX 27

CONFIDENTIAL

FACILITY LOCATION

Connection Christian Church, 2901 Pleasant Valley Road, York, PA 17402

In further response, see attached.



APPENDIX 27 EXHIBIT		site design concepts LAND DEVELOPMENT CONSULTANTS		NO. DATE	
YORK COUNTY MINI CASINO		127 WEST MARKET STREET, SUITE 200 • YORK, PA 17401		REVISIONS	
PENN. NATIONAL GAMING INC.		TEL: 717.757.9414 • FAX: 717.849.8205 • WWW.SITEDC.COM		COMMENTS	
3000 WHITEFORD ROAD		Golf Engineering • Surveying • Landscape Architecture • Land Planning • Environmental Consulting			
SPRINGETTSEBURY TOWNSHIP, YORK COUNTY, PENNSYLVANIA					
DATE: 10/28/11					
BY: [Signature]					
CHECKED BY: [Signature]					
APPROVED BY: [Signature]					

HOLLYWOOD CASINO YORK

APPENDIX 28

CONFIDENTIAL

ADMINISTRATIVE AND ACCOUNTING PROCEDURES

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 29

CONFIDENTIAL

MARKETING PLANS

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 29

CONFIDENTIAL

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 29

CONFIDENTIAL

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 30

CONFIDENTIAL

LOCAL ZONING AND LAND USE APPROVALS

The proposed site of Applicant's casino facility (the "Facility") is located at 2899 Whiteford Road, York, Pennsylvania, which includes the existing Galleria Mall building on parcels identified as Tax Parcel Nos. 46-000-KJ-00-01-0000000, 46-000-KJ-000-1P-0000000 and 46-000-KJ-000-1Q-0000000 (collectively the "Property"), in Springettsbury Township (the "Township"), York County. Because the Facility is to occupy existing floor area within the Galleria Mall building, no land development plan approvals are anticipated to be required.

The Property is currently zoned and is located in the Township's C-H Commercial Highway District (the "C-H District") as well as the Town Center Overlay (the "TC Overlay"). Pursuant to Sections 325-42.C(7) and 325-197.C(2) of the Township's Zoning Ordinance (the "Zoning Ordinance"), Casinos and Gambling Facilities are permitted by conditional use in the C-H District and the TC Overlay.

The Applicant is in the process of requesting conditional use approval to locate its proposed Facility on the Property. Following conditional use approval, the Applicant will submit a building permit application for the proposed building fit-out improvements for the Facility.

**SPRINGETTSBURY TOWNSHIP
YORK COUNTY, PENNSYLVANIA**

ORDINANCE NO. 2018-07

**AN ORDINANCE OF SPRINGETTSBURY TOWNSHIP, YORK
COUNTY, PENNSYLVANIA AMENDING THE SPRINGETTSBURY
TOWNSHIP CODE OF ORDINANCES, CHAPTER 325," ZONING"**

WHEREAS, the Board of Supervisors of Springettsbury Township desires to amend the Springettsbury Township Code of Ordinances, Chapter 325, "Zoning," to add amendments to the zoning ordinance regarding casino and gambling facilities, off-track betting and pawn shops.

NOW THEREFORE, BE IT ENACTED AND ORDAINED by the Board of Supervisors of Springettsbury Township that the Springettsbury Township Code of Ordinances, Chapter 325, "Zoning," is hereby amended by adding the following:

SECTION 1. Article II, "Definitions," §325-5.D., is amended to add the following definitions:

Casino and Gambling Facility – A gaming business establishment licensed as such by the Commonwealth of Pennsylvania. A casino and gambling facility may include accessory uses, including but not limited to those uses set forth in §325-168. A.J., but excluding sexually oriented businesses. A use shall not be deemed to be a casino and gambling facility on the basis of the sale of Pennsylvania lottery tickets, bingo conducted pursuant to the Bingo Law, and gambling activities conducted pursuant to the Local Option Small Games of Chance Act (including tavern games).

Gambling Device – Any device, machine or apparatus used for the playing of poker, blackjack, keno, bingo or other casino games for which a fee is charged, whether or not such device is operated by the insertion therein of any coin, currency, disc, slug or token.

Illegal Gambling Device - Any device, machine or apparatus designed and/or specifically equipped to be used for the playing of poker, blackjack, keno, bingo, slots or other casino gambling games by the insertion therein of any coin, currency, metal disc, slug or token, which has been modified, or is designed to facilitate the ready use of, a knockoff or knockdown device or other capability for erasing or eliminating accumulated playing credits

Off-Track Betting - Any establishment which includes "off-track betting," under an approved license issued by the Commonwealth, as an accessory use; excluding dog or horse racing tracks of any kind.

Pawn Shop - Any building or room or portion thereof which is open to the public and which is owned or operated by a person who lends money at a rate of interest on articles of personal property left and collateralized as security and who makes the articles available for purchase by the public.

SECTION 2. Article XIV, “C-H Commercial Highway District,” Section 325-42.C., “Uses by Special Exception and Conditional Uses (see Article XXIX),” is hereby amended by adding the following:

(7) Casino and Gambling Facility

SECTION 3. Article XXV, “Off-Street Parking, Loading and Unloading,” Section 325-114, “Parking facilities required by land use” subsection D., is amended to add under “Minimum Parking Spaces Requirements for Recreational Uses”:

Casino and Gambling Facility

- 0.5 spaces per every live gaming seat
- 1 space per every 5 employees for maximum projected work shift (excluding employees of the accessory uses set forth in §325-168. A.J.)

Night Club - 6 spaces per 1,000 gross square feet

SECTION 4. Article XXIX, “Permitted Uses Authorized by Special Exception or as a Conditional Use,” is amended to add a new Section 168.A. as follows:

S.325-168. A. Casino and Gambling Facility

- A. Casino and Gambling Facilities shall be permitted as a Conditional Use in Springettsbury Township.
- B. In addition to the requirements of this chapter, the use shall comply with the provisions of the Commonwealth of Pennsylvania.
- C. Parking shall be provided per §325-114.D. In addition to those spaces dedicated to the casino and gambling uses, accessory uses, including but not limited to those uses set forth in §325-168. A.J, and any other similar areas open to the public shall be parked at a rate of 50% of the minimum parking requirements for each use as established by §325-114.D.
- D. Bus area parking and circulation shall not conflict with automobile circulation and spaces.
- E. A Type 2 Buffer Yard pursuant to the requirements of §289-35 of the Subdivision and Land Development Ordinance shall be provided along all sides of a bus parking area that adjoins a street or access drive (excluding aisles) and that primarily serves the casino, except that no such buffer yard shall be required on any side of the bus parking area that is necessary to provide access to the bus parking spaces.

- F. An access plan shall be submitted for Township review and evaluation to ensure minimized impacts of conflicts between the vehicular routes and alignments of the facility and surrounding uses.
- G. A traffic impact study shall be completed to identify and evaluate potential patronage's vehicular patterns and demands. The study shall comply with the requirements of Chapter 289 of the Subdivision and Land Development Ordinance.
- H. All activities conducted on site must adhere to the performance standards found in Article XXVIII, "Performance Standards."
- I. The Applicant must demonstrate compliance with §325-194.B., "Conditional Use Process."
- J. Permitted Accessory Uses – the following uses are permitted accessory uses to a Casino and Gambling Facility and may be approved along with the conditional use approval of the principal use:
 - 1. Bar
 - 2. Restaurant, Cafe and other Places Serving Food and/or Alcohol
 - 3. Off-Track Betting/Wagering
 - 4. Boutique Retail – maximum of 1,500 SF per individual retail use
 - 5. Personal Care Service – maximum of 1,500 SF per individual personal care service use
 - 6. Night Club
 - 7. Recreational and Entertainment Facility, including live-entertainment
 - 8. Theatre
 - 9. Hotel (see §325-124)
 - 10. Structured Parking

SECTION 5. Article XVI, Industrial Districts," Section 325-69, "B-I Business and Industrial Park District," subsection B. is hereby amended to add as a permitted use the following:

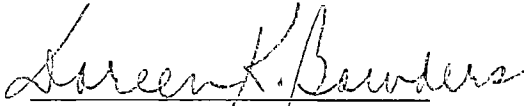
(18) Pawn Shop

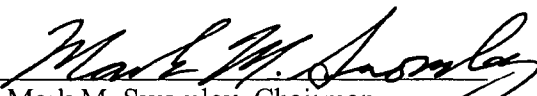
SECTION 6. This Ordinance shall be take effect five (5) days after adoption.

ENACTED AND ORDAINED, this 26th day of July 2018.

ATTEST:

SPRINGETTSBURY TOWNSHIP
BOARD OF SUPERVISORS


Doreen K. Bowders, Secretary

BY: 
Mark M. Swomley, Chairman

**SPRINGETTSBURY TOWNSHIP
YORK COUNTY, PENNSYLVANIA**

ORDINANCE NO. 2018-08

**AN ORDINANCE OF SPRINGETTSBURY TOWNSHIP, YORK COUNTY,
COMMONWEALTH OF PENNSYLVANIA, AMENDING THE CODE OF
SPRINGETTSBURY TOWNSHIP, CHAPTER 325 (ZONING), BY AMENDING ARTICLE
II, SECTION 325-5 (DEFINITION OF TERMS) BY ADDING A NEW TERM AND
DEFINITION FOR ENCLOSED SHOPPING MALL; AND BY AMENDING ARTICLE
XXXIII (TOWN CENTER OVERLAY) BY ADDING PROVISIONS FOR EXISTING
ENCLOSED SHOPPING MALLS**

WHEREAS, the Board of Supervisors of Springettsbury Township desires to amend the Springettsbury Township Code of Ordinances, Chapter 325, "Zoning," to revise the Town Center Overlay standards regarding improving and/or reusing existing enclosed shopping malls.

NOW THEREFORE, BE IT ENACTED AND ORDAINED by the Board of Supervisors of Springettsbury Township that the Springettsbury Township Code of Ordinances, Chapter 325, "Zoning," is hereby amended by adding the following:

SECTION 1. The Code of Springettsbury Township, Chapter 325 (Zoning), Article II (Definitions), Section 325-5 (Definition of terms), Subsection D shall be and is hereby amended by the addition of a new term and definition as follows:

SHOPPING MALL, ENCLOSED - A shopping mall with a completely enclosed climate-controlled walkway between two facing strips of stores.

SECTION 2. The Code of Springettsbury Township, Chapter 325 (Zoning), Article XXXIII (Town Center Overlay), Section 325-198 (Minimum requirements), shall be and is hereby amended by the addition of a new Subsection F as follows:

- F. Notwithstanding any other provision of Article XXXIII of this chapter, for improvements to and/or reuse of existing floor area within an enclosed shopping mall not involving exterior building additions or expansions so as to increase floor area by the lesser of 15,000 square feet or 2% of the existing floor area of the enclosed shopping mall, the master plan requirements of §§ 325-198 and 325-207 of this chapter shall not apply, and the provisions of §§ 325-200.L and 325-208 of this chapter are the only requirements of Article XXXIII this chapter that shall apply.

SECTION 3. The Code of Springettsbury Township, Chapter 325 (Zoning), Article XXXIII (Town Center Overlay), Section 325-200 (Conditional use design standards), shall be and is hereby amended by the addition of a new Subsection L as follows:

- L. Design standards for improvements to and/or reuse of existing floor area within an enclosed shopping mall not involving exterior building additions or expansions so as to increase floor area by the lesser of 15,000 square feet or 2% of the existing floor area of the enclosed shopping mall, shall be subject to the following standards:

- (1) Where modifications or improvements exceeding 30% of the existing building façade of an of an individual tenant or establishment are proposed (excluding signs), either singularly or cumulatively, the following standards shall only apply to the portion of the façade proposed to be modified or improved:
 - (a) Orient new primary building entrances toward internal or external streets.
 - (b) Provide more than 25% transparent or translucent.
 - (c) Utilize pedestrian-scale building facade articulation and changes in plane on all facades to distinguish each building story, and incorporate regularly spaced vertical elements (e.g., projections, recesses, openings, materials, etc.) between 20 feet and 40 feet wide.
- (2) Provide pedestrian access in accordance with the circulation standards stated in § 325-200.B (1) of this chapter.
- (3) Landscape along the edges of the property.
- (4) To the extent that modifications or improvements to the existing parking lot are proposed, provide new landscaped islands or diamonds with trees in accordance with Section 325-206.A(3) of this chapter, throughout portion of the parking areas proposed to be modified or improved.
- (5) All new rooftop mechanical equipment and other appurtenances shall be concealed by or integrated within the roof form or screened from view at ground level of nearby streets. The following, when above the roofline, requires screening: stairwells, elevator shafts, air-conditioning units, large vents, heat pumps and mechanical equipment. The screening of mechanical equipment shall not be subject to the maximum height requirements if it is unoccupied.
- (6) Signs are permitted in accordance with Article XXIV of this chapter and may be modified in accordance with § 325-208 of this chapter.

SECTION 4. All other ordinances and parts of ordinances inconsistent herewith are hereby repealed.

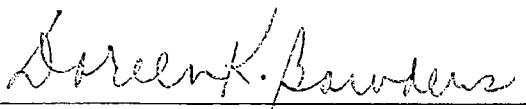
SECTION 5. The provisions of this Ordinance are severable and if any of its sections, clauses or sentences shall be held illegal, invalid or unconstitutional, such provision shall not affect or impair any other remaining sections, clauses or sentences of the same.


SECTION 6. This Ordinance shall take effect and be in force immediately upon enactment.

ENACTED AND ORDAINED this 26th day of July 2018.

ATTEST:

SPRINGETTSBURY TOWNSHIP


Doreen K. Bowders, Secretary


Mark M. Swomley, Chairman

**SPRINGETTSBURY TOWNSHIP
YORK COUNTY, PENNSYLVANIA**

ORDINANCE NO. 2018-09

**AN ORDINANCE AMENDING THE SPRINGETTSBURY TOWNSHIP TOWN
CENTER OVERLAY MAP TO ADD CERTAIN PARCELS TO THE TOWN CENTER
OVERLAY UNDER ARTICLE XXXIII OF THE SPRINGETTSBURY TOWNSHIP
ZONING ORDINANCE**

WHEREAS, pursuant to Section 609 of the Municipalities Planning Code, 53 P.S. §10101 et seq., the Board of Supervisors of Springettsbury Township deems it to be in the best of the Township to amend the Town Center Overlay Map to add certain parcels to the Town Center Overlay under Article XXXIII of the Springettsbury Township Zoning Ordinance.

NOW, THEREFORE, BE IT ENACTED AND ORDAINED, and it is hereby enacted and ordained by the Board of Supervisors of Springettsbury Township as follows:

Section 1. The Town Center Overlay Map under Article XXXIII of the Springettsbury Township Zoning Ordinance is hereby amended to add the following parcels to the Town Center Overlay:

Parcel	Owner	Address
1. 46-000-KJ-00-01-0000000	York Galleria, LP	2899 Whiteford Road
2. 46-000-KJ-000-1P-000000	BT York (PA) Business Trust	2899 Whiteford Road
3. 46-000-KJ-000-1Q-000000	Pax Mall Realty Company, LP	2899 Whiteford Road

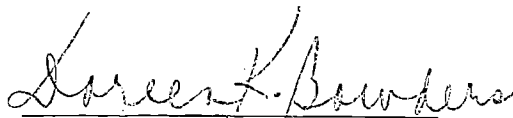
Section 3. Upon adoption of this ordinance, the Town Center Overlay Map of the Springettsbury Township Zoning Ordinance shall be so modified and amended.

Section 4. This Ordinance shall be effective five (5) days after adoption.


ENACTED AND ORDAINED, this 26th day of July 2018.

ATTEST:

BOARD OF SUPERVISORS OF
SPRINGETTSBURY TOWNSHIP


Doreen K. Bowders, Secretary

BY:


Mark M. Swomley, Chairman

HOLLYWOOD CASINO YORK

APPENDIX 31

CONFIDENTIAL

SITE PLAN

REDACTED

ATTACHMENT REDACTED



HOLLYWOOD CASINO YORK

APPENDIX 32

CONFIDENTIAL

RETAIL AND FOOD VENUES

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 33

CONFIDENTIAL

LOCAL IMPACT, ENGINEERING, AND TRAFFIC STUDY REPORTS

See attached.

MEMORANDUM

TO: Penn National Gaming, Inc.
FROM: Econsult Solutions, Inc.
DATE: September 10, 2018
RE: Economic and Fiscal Impact in Support of PGCB Application and Disclosure Form

Background

Penn National Gaming, Inc. ("Penn National"), as part of its application for a Category 4 Satellite Casino located in Springettsbury Township ("Springettsbury" or "Township"), hired Econsult Solutions, Inc. ("ESI") to conduct a local services and economic impact analysis. The proposed casino will be located at the York Galleria Mall on the first floor of the Sears Store which closed in August 2018. York Galleria Mall is currently facing the closure of two of its three anchor stores, including Sears.

The rehabilitation of the existing space into a Category 4 casino will be a 79,300 SF single-phase of construction. Penn National anticipates that the proposed construction could take 12-18 months once final approvals are secured. The proposed casino includes a 136-seat casual dining location, 32-seat bar, a lounge and small stage, and a 23-seat Grab N' Go Grill. Under a Category 4 License, a satellite casino is allowed up to 750 slots and 30 tables in Year 1, with the ability to add an additional 10 tables after Year 2. Penn National plans to open with only 500 slots and 20 tables in Year 1, in order to assess market demand.

Penn National estimates that the site is expected to primarily draw visitors from REDACTED

REDACTED

This memo details our analysis in support of Appendix 33 and Appendix 37 (Sections 7) of Penn National's application to the Pennsylvania Gaming Control Board (PGCB). Our analysis includes a local impact report and an economic and fiscal impact report for the site, detailing its impacts on both Springettsbury Township and the Commonwealth of Pennsylvania.

Appendix 33

Methodology

In order to evaluate the potential impact of the proposed casino location, ESI undertook a three part approach. First, we calculated the impacts of other Penn National casino locations on a per position basis. We then consulted Springettsbury Township officials to understand the baseline of local services and estimate the relative impact of the proposed casino. Finally, we integrated this analysis with the traffic and utility studies that Penn National had commissioned for the site.

A Category 4 casino can have a maximum of 750 slots and 40 tables (after Year 2), which equates to 990 positions. A gaming position is defined as either a slot machine or a seat at a table game. Penn National has five locations of similar size in the United States, located in Bangor, ME; Alton, IL; Tunica, MS; Zia Park, NM; and Biloxi, MS. These five sites have between 777 and 871 gaming positions. Penn National also operates a Category 1 casino in Pennsylvania, Hollywood Casino in Grantville, which has 2,750 gaming positions. Penn National provided average impacts from the similar sized casinos and the Pennsylvania-based casino. These calculations were used to estimate the impact of the Springettsbury location and are detailed by area of service below.

After calculating these estimates, we consulted with the relevant Managers and Directors of the Springettsbury Township, the Chief of Police for the Springettsbury Township Police Department, and the Fire Chief for the York Area United Fire and Rescue, which services Springettsbury Township.

Table 1. Township Officials Consulted

Name	Position	Entity
Benjamin Merchant	Township Manager	Springettsbury Township
Dori Bowders	Manager of Administrative Operations	Springettsbury Township
Mark Hodgkinson	Director of Public Works/Wastewater	Springettsbury Township
Teresa Hummel	Director of Finance	Springettsbury Township
Daniel E. Stump	Chief of Police	Springettsbury Township Police Department
Daniel Hoff	Fire Chief	York Area United Fire and Rescue

From these discussions, we identified baseline service levels across Township departments. We then calculated the percentage increase that the proposed casino would have on the Township. Along with the current Township budget, we used our analysis to calculate the relative cost to the Township against the estimated new tax revenue generated by the proposed casino.

Finally, we integrated our analysis with traffic and utility impact analyses that Penn National had commissioned for the casino to understand the overall local service impact of a Category 4 casino in Springettsbury Township.

Township Budget and Current Conditions

Springettsbury Township's General Fund Budget was approved for \$14.1M in 2018 (Table 1).

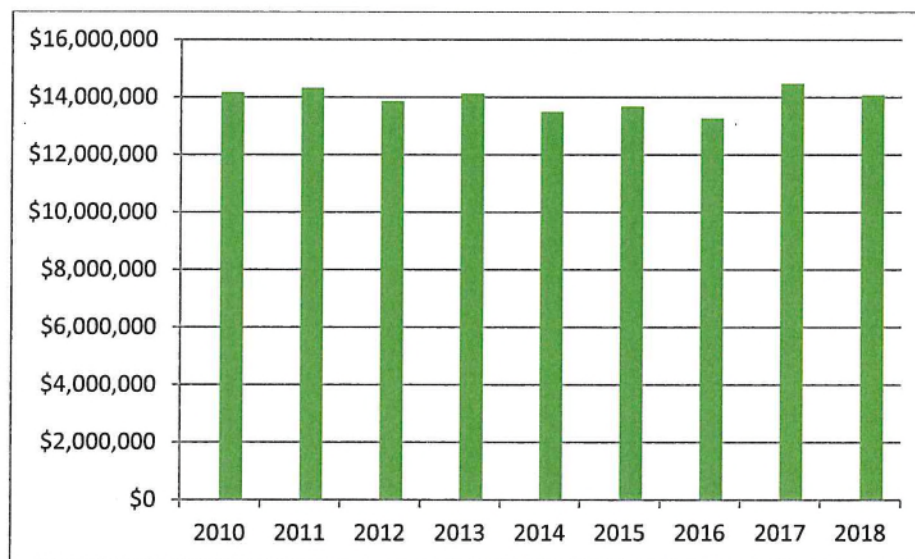
Table 2. Springettsbury Township General Fund Budget 2018

	Appropriated
General Government	\$1,565,171
Public Safety	\$7,998,231
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Community and Cultural Services	\$507,070
Debt Service	\$333,070
Contributions	\$37,000
Fixed/Sundry	\$344,868
Transfers	\$666,950
Total	\$14,088,697

Source: Township of Springettsbury (2018)

The Township's General Fund has remained relatively flat since 2010. Despite some minor changes year-to-year, there has been a 0 percent change overall since 2010 when the General Fund is calculated in 2018 dollars.

Figure 1. Township General Fund 2010-2018 (in 2018\$)



Source: Township of Springettsbury (2010-2018)

Any major new revenue sources would represent a significant increase in the Township's General Fund.

Public Works Department:

Street Maintenance:

Due to the nature of the proposed casino location site, we anticipate negligible impacts on street maintenance. Located within an existing regional shopping mall, the proposed casino would be part of the York Galleria Mall, an already well-trafficked area.

As noted, Penn National commissioned a traffic study from the Transportation Resource Group (TRG). This study estimates that, at max build-out, the casino would generate 3,753 additional trips on a daily basis and 5,518 additional trips on Saturdays. Currently the annual average daily traffic (AADT) on roads adjacent to the York Galleria ranges from 5,700 on Pleasant Valley Road to 16,500 on Memory Lane.¹ Some of the new traffic added is expected to be offset by the closures of two of the Galleria's anchor stores, the Bon-Ton and Sears.

Sanitation, Water, and Sewer:

Water is currently provided to the site by the York Water Company, which feeds water through three water mains located on all three sides of the site. The addition of the casino to the site is expected to require an upgrade to the domestic water line from 2 inches to 4 inches. The site expects to use 21,663 gallons of water (including the water needed for sanitation) per day.

The size of the current public sanitary sewer line at the site is 4 inches and would need to be upgraded to a 6 or 8-inch line to accommodate the peak flows generated by a casino; the private sanitary sewer line is already an adequate size at 8 inches. To accommodate the increased number of people visiting the casino, the site would have to add 60 Equivalent Dwelling Units ("EDUs") to the currently existing 2 EDUs. The cost to add an additional EDU in Springettsbury Township is around \$2,568, totaling to \$154,080 to reach 62 EDUs.

Public Safety:

As noted, Penn National provided the average number of public safety calls per month and average number of calls per month per gaming position. This data enabled us to estimate the anticipated number of new public safety calls from the proposed casino location. The average calls per month per position were multiplied by the number of gaming positions at both the opening and max build-out levels for police, fire, and Emergency Medical Services ("EMS").

Table 3. Estimated Calls/Month at Springettsbury Location

Casino Location	# Gaming Positions	Police	Fire	EMS
Opening	620	4.1	1.5	1.4
Max Build-Out	990	6.6	2.3	2.2

¹ TRG Traffic Study for Proposed York Galleria casino location.

We then used information provided from Springettsbury Township officials to calculate the percentage increase on local public safety services from their current baseline operations.

Police:

Springettsbury Township operates a local police force, the Springettsbury Township Police Department ("STPD"), that manages law enforcement in the township. In total, the STPD receives an average of 917 calls per month, 83 of which are motor vehicle accidents or other traffic related incidents.² Using the average number of calls per month to police from the sample casinos, we estimate that, if the casino does open in Springettsbury Township, STPD could expect to receive an average of 4.1 additional phone calls per month as a result of the casino opening and an average of 6.6 phone calls per month at max build-out. This represents an estimated 0.5 percent increase and 0.8 percent increase in non-traffic related police calls for the Springettsbury Township Police Department. We do not anticipate that the casino will have a material impact on the STPD.

Fire:

The York Area United Fire and Rescue Department ("YAUFRD"), which operates in Springettsbury Township as well as the surrounding Spring Garden and Manchester Townships, provides fire suppression, fire prevention, EMS, disaster planning, and safety education to the residents and members to the three communities. The YAUFRD is staffed with a combination of both career and volunteer firefighters. Despite the broader trend of decreased volunteers, the YAUFRD ensures that all of the stations under its jurisdiction maintain the minimum staffing requirement to respond to calls. Every year, the YAUFRD receives an average of 333 calls per month, of which an estimated 142 originate in Springettsbury Township.

Using the average number of calls per month to the fire department from the sample casinos, we estimate that YAUFRD should expect to receive an average of 1.5 additional phone calls per month as a result of the casino opening and an average of 2.3 phone calls per month at max build-out. This represents a 0.5 to 0.7 percent increase in fire calls per month overall and a 1.1 to 1.6 percent increase in calls originating in Springettsbury Township. We do not anticipate that the casino will have a significant impact on the York Area United Fire and Rescue Department.

EMS:

Springettsbury contracts its ambulance and EMS services from the YAUFRD. This contract includes two intensive units that operate 7 days a week: one 24-hour unit and one 12-hour unit. Currently, YAUFRD receives around 333 EMS phone calls per year originating from Springettsbury Township. Based on other Penn National casino locations, we estimate an additional 1.4 EMS calls per month at the opening and 2.2 at max build-out. Similar to fire services, this represents a 0.4 to 0.7 percent increase in EMS service demand. Overall, we expect that the proposed casino will have a negligible impact on local EMS services.

² On average, the STPD handles 300 felonies, 600 misdemeanors, and 500 summary offenses per year.

Traffic and Transportation

Based on the estimated daily trips increase, the traffic study completed by TRG provides one on-site and two off-site recommendations to ensure safe and efficient traffic movement:

Site Access:

1. The Whiteford Road/York Galleria SW driveway improvement options include the following:
 - a. Change the driveway to right in-right out only
 - b. Change the driveway to egress only

Off Site:

1. Provide a contribution to Springettsbury Township to optimize the traffic signal timings at Mt. Zion Road (S.R.0024)/U.S. 30 Westbound Ramps – Whiteford Road intersection.
2. Provide a contribution to Springettsbury Township to optimize the traffic signal timings at Mt. Zion Road (S.R.0024)/Pleasant Valley Road intersection.

In total, these improvements are estimated to cost \$97,750.³

Other Impacts

Housing:

The casino site is not expected to have an adverse effect on housing in the surrounding area due to US Route 30 and PA Route 24 adequately separating the York Galleria Mall from the surrounding residential community. There are two anticipated residential developments proximate to the site location. The first, Pleasant Valley, LLC is located on the northwest corner of the Mt. Zion Road (S.R.0024)/Pleasant Valley Road intersection. This development includes 80 apartment units as part of a larger mixed-use site. The other residential development is Triplet Springs, consisting of 129 single family homes located north of the York Galleria Mall. We expect that the location of the casino in an existing commercial hub is projected to result in a minimal impact on local housing.

Existing Tourism:

We anticipate that the regional market draw of the casino is expected to have a net-positive impact on Springettsbury Township tourism. The proximity of the casino to stores within the York Galleria Mall and other local businesses including restaurants, hotels, and retail is expected to increase ancillary spending within the Township. When visiting Springettsbury Township for the proposed casino, visitors are more likely to spend other money within the Township at restaurants proximate to the casino or visiting nearby retail stores.

Springettsbury is a regional tourism destination for York County. This tourism is driven by the Township Park, located across from the Galleria, and the Harley Davidson Factory Tour. Although the Township Park is adjacent to the York Galleria Mall, we do not anticipate any adverse effects on park attendance due to the opening of the casino due to the separation by PA Route 24.

³ TRG traffic study.

Springettsbury also hosts a number of popular annual events including the Street Run, antique car shows, and the York County Fair. These events draw primarily from York and Lancaster counties. Because Penn National anticipates the same market area for the proposed casino, we do not anticipate that it will have a significant impact on the makeup of tourists in the Township.

Other Municipal Services and Resources:

The Permits/Planning/Zoning office should anticipate a one-time and minor impact due to the administrative work needed for the construction of the casino. This impact is expected to subside once construction of the casino is underway. The ongoing operations of the casino are expected to have a minimal impact on the permits office and should not produce any significant adverse increases in workload in other government departments.

Conclusion

In total, we estimate that the casino development is expected to have an upfront impact of \$252,000 on the Township from capital improvements; however, we would anticipate the casino to offset these costs through direct and/or indirect contributions.

Table 4. Estimated Upfront Capital Improvements

Area of Investment	Cost
Water and Sewer Upgrades	\$154,080
Traffic Improvements	\$97,750
Total	\$251,830

Appendix 37: Sections 7 and 9

Methodology

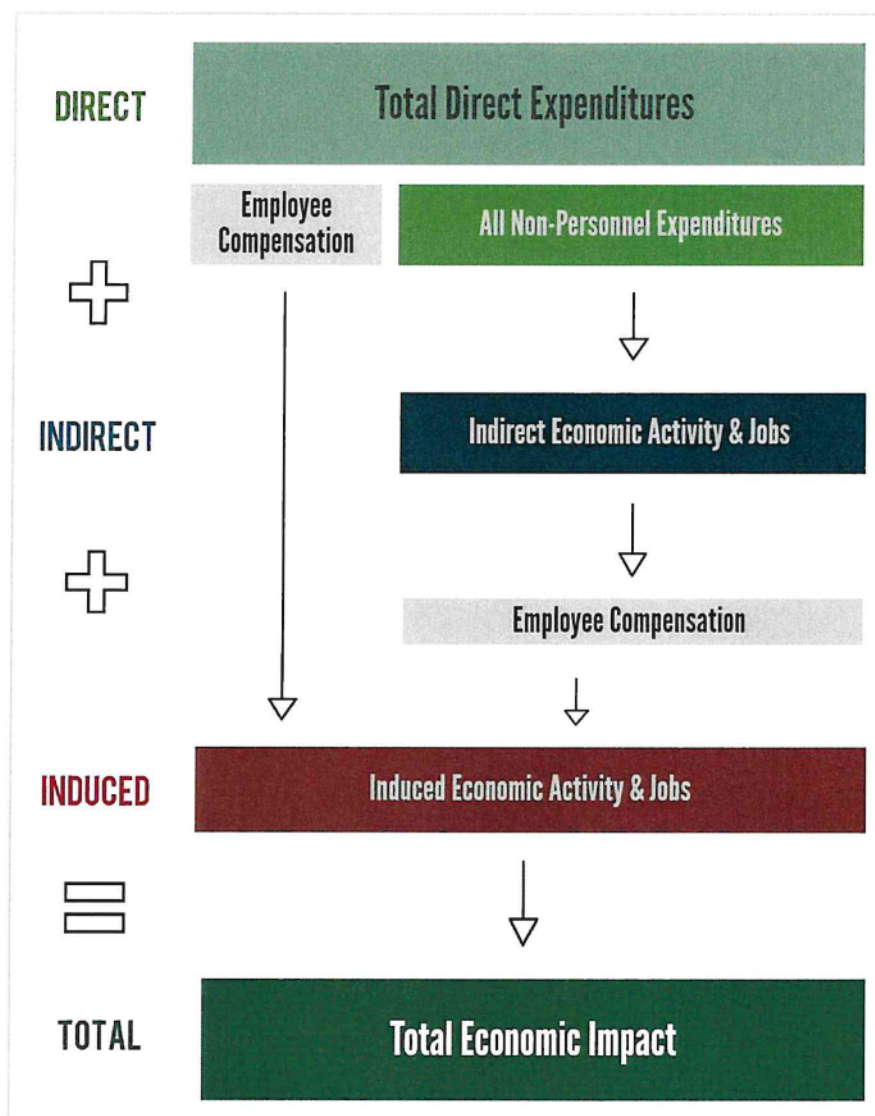
Economic impact estimates are generated by utilizing input-output models to translate an initial amount of direct economic activity into the total amount of economic activity that it supports, which includes multiple waves of spillover impacts generated by spending on goods and services and spending of labor income by employees.⁴ The economic impact from direct expenditures is modeled using IMPLAN, an industry standard input-output model software program. Such models are designed to estimate two sets of spillover impacts from direct expenditures:

- The indirect effect, which measures the multiplier effect from the purchase of goods and services from local vendors (i.e. supply chain impacts); and
- The induced effect, which measures the multiplier effect from the spending of labor income by employees within a particular geography (i.e. labor income impacts).

The total economic impact of the proposed casino is the sum of their own direct economic footprint (in terms of both Penn National's spending, and ancillary spending by the visitors they attract), plus the indirect and induced effects generated by that direct footprint. Economic impacts are estimated for Springettsbury Township, York County, and the Commonwealth of Pennsylvania (inclusive of the city impact) (see Figure 2).

⁴ See Appendix A for further detail on input-output theory and methodology.

Figure 2 – Economic Impact Methodology



Source: IMPLAN (2015), Piktochart (2018)

The economic impacts, estimated using IMPLAN, are translated to fiscal impacts using tax models created by ESI specific to the Commonwealth of Pennsylvania and Springettsbury Township. ESI uses its own fiscal model, which establishes the relationship between economic activity and tax revenue collections for various jurisdictions (i.e. effective tax rates). In the case of non-profit entities, modeling is adjusted to ensure that tax-exempt status is accounted for, while still including economic activity that is tax generating. For example, casino employees generate wage and income tax, and the indirect and induced activity it generates largely accrues to private firms, and therefore is broadly tax generating.

Section 7

This section of the report quantifies the construction and ongoing operations impact within Springettsbury Township, York County, and Pennsylvania. Direct construction activity will employ construction workers and professional service providers, who will in turn spend a portion of their salaries and wages within the local and state economy. Construction activity is also expected to catalyze the procurement of a wide range of goods and services, which will translate into new economic opportunities for local and state vendors. Ongoing operations will require the procurement of various goods and services, which is expected to translate into new economic opportunities for local and state vendors representing a wide range of industries.

The one-time economic impact of the proposed casino's construction is estimated to be \$68.8 million, which is projected to support approximately 440 jobs. Construction is projected to also generate approximately \$930,000 in tax revenues for the Commonwealth. While the construction-related benefits of the development are temporary in nature, over the course of construction, the project is projected to support an estimated 260 direct construction jobs and 180 additional indirect and induced jobs.

The total economic impact of ongoing operations is projected to be nearly \$80 million in the first year of operations and nearly \$100 million after 10 years, along with the concurrent creation of an estimated 500 jobs in the first year and over 600 at stabilization. Total tax revenue generated, including local property and gaming, state income, sales, and business taxes, by the proposed development's operations is estimated to be REDACTED

Upfront Construction

According to construction budgets prepared by Penn National, it is anticipated that the development of the casino is estimated to represent \$119.0 million in direct upfront expenditures. Not all expenditures represent direct outlays that produce spillover effects within the region and state, so certain expenditure categories were partially or fully reduced before modeling. Based on this approach, it is estimated that the project is estimated to represent \$36.2 million in direct upfront expenditures.

Table 6 – Estimated Direct Expenditures from Upfront Construction

Direct Expenditure Category	Total Construction Expenditures	Expense Category	Modeled Portion	Modeled Construction Expenditures
Building Construction	REDACTED	Hard Cost	100%	REDACTED
On-Site Improvements		Hard Cost	100%	
Off-Site Improvements		Hard Cost	100%	
FF&E, Signage, Audio/Visual, & Memorabilia		Hard Cost	25%	
Design, Management, & Consultants		Soft Cost	100%	
Legal & Insurances		Soft Cost	100%	
Operations, Slots, Table Games, & IT		Non-Modelable	0%	\$0.0
Contingency		Non-Modelable	0%	\$0.0
Cap Interest		Non-Modelable	0%	\$0.0
Pre-Opening		Non-Modelable	0%	\$0.0
Working Capital & Cage Cash		Non-Modelable	0%	\$0.0
Cat. 4 Slots/Tables License	\$52.6	Non-Modelable	0%	\$0.0
Total	\$119.0	-	-	\$36.2

Source: Penn National (2018)

Upfront construction of the development is expected to have significant one-time impacts on the regional and state economy. The construction phase is expected to represent approximately:

- \$41.1 million in one-time economic impact within the Township economy, supporting 271 jobs and \$14.0 million in earnings,
- \$59.9 million in one-time economic impact within York County, supporting 400 jobs and \$19.8 million in earnings, and
- \$68.8 million in one-time economic impact within the Commonwealth, supporting 440 jobs and \$22.2 million in earnings.

Table 7 - Estimated One-Time Impact to Springettsbury Township, York County and the State of Pennsylvania from Upfront Construction

Economic Impact from Upfront Construction	Economic Impact to Springettsbury Township	Economic Impact to York County	Economic Impact to the State of Pennsylvania
Direct Output (\$M)	\$39.5	\$39.5	\$39.5
Indirect and Induced Output (\$M)	\$1.6	\$20.4	\$29.3
Total Impact (\$M)	\$41.1	\$59.9	\$68.8
Employment Supported (FTE)	271	400	440
Earnings (\$M)	\$14.0	\$19.8	\$22.2

Source: Econsult Solutions (2018), IMPLAN (2015)

Construction of the casino is also expected to generate tax revenues for the Commonwealth of Pennsylvania for the duration of the project. It is estimated that upfront construction is expected to produce approximately \$930,000 in income, sales, and business tax revenues.

Table 8 – Tax Revenue Generated from Construction within the Commonwealth of Pennsylvania

Tax Revenue Impact from Construction	Tax Revenue Impact to Commonwealth of Pennsylvania
Personal Income Taxes	\$440,000
Sales Taxes	\$430,000
Business Taxes	\$60,000
Total Taxes	\$930,000

Source: Econsult Solutions (2018), IMPLAN (2015)

Section 7.3 – Ongoing Operations

REDACTED

REDACTED The composition and scale of various impact categories such as output, employment, and tax revenues are derived from casino revenues. Our analysis focuses on the revenue from the first and tenth year of operations.

Figure 3 – Estimated Annual Operating Revenues for the Proposed Casino Over 10 Year Period (\$M)



Source: Penn National (2018)

Ongoing operations of the proposed development are expected to have significant annual impacts on the regional and state economy. Within the first year of operation, the economic impact of the casino is expected to represent approximately:

- \$50.9 million in one-time economic impact within the Township economy, supporting 220 jobs and \$6.7 million in earnings,
- \$72.2 million in one-time economic impact within York County, supporting 370 jobs and \$12.0 million in earnings, and
- \$77.9 million in one-time economic impact within the Commonwealth economy, supporting 400 jobs and \$13.6 million in earnings.

Table 9 – Economic Impact of Operations to Springettsbury, York County, and the Commonwealth of Pennsylvania within the First Year of Operation

Economic Impact from Ongoing Operations	Economic Impact to Springettsbury Township	Economic Impact to York County	Economic Impact to the State of Pennsylvania
Direct Output (\$M)	\$49.0	\$49.0	\$49.0
Indirect and Induced Output (\$M)	\$1.85	\$23.1	\$28.9
Total Impact (\$M)	\$50.9	\$72.2	\$77.9
Employment Supported (FTE)	220	370	400
Earnings (\$M)	\$6.7	\$12.0	\$13.6

Source: IMPLAN (2015)

At stabilization, the economic impact of the casino is expected to represent approximately:

- \$63.9 million in one-time economic impact within the Township economy, supporting 256 jobs and \$8.4 million in earnings,
- \$90.6 million in one-time economic impact within York County, supporting 450 jobs and \$15.1 million in earnings, and
- \$97.8 million in one-time economic impact within the Commonwealth economy, supporting 480 jobs and \$17.1 million in earnings.

Table 10 – Economic Impact of Operations to Springettsbury, York County and the Commonwealth of Pennsylvania at Stabilization

Economic Impact from Ongoing Operations	Economic Impact to Springettsbury Township	Economic Impact to York County	Economic Impact to the State of Pennsylvania
Direct Output (\$M)	\$61.5	\$61.5	\$61.5
Indirect and Induced Output (\$M)	\$2.32	\$29.0	\$36.3
Total Impact (\$M)	\$63.9	\$90.6	\$97.8
Employment Supported (FTE)	256	450	480
Earnings (\$M)	\$8.4	\$15.1	\$17.1

Source: IMPLAN (2015)

Ongoing operations of the proposed development are also expected to increase various tax bases and therefore generate tax revenues for the Commonwealth. It is estimated that ongoing operations is expected to produce \$800,000 in tax revenues for the Commonwealth within the first year of operation and nearly \$1.0 million at stabilization. This economic impact includes new income and business taxes from the direct, indirect, and induced economic activity generated by the proposed development.

Table 11 – Tax Revenue Generated from Ongoing Operations of the Casino within the Commonwealth of Pennsylvania

Tax Revenue Impact from Construction	Tax Revenue Impact to Commonwealth of Pennsylvania within the First Year	Tax Revenue Impact to Commonwealth of Pennsylvania at Stabilization
Personal Income Taxes	\$270,000	\$330,000
Sales Taxes	\$460,000	\$570,000
Business Taxes	\$70,000	\$90,000
Total Taxes	\$800,000	\$990,000

Source: IMPLAN (2015)

Section 9

The proposed casino will act as an economic engine and community partner. The significant tax revenue generated from the construction and operations activity associated with the proposed casino is expected to substantially outweigh negligible adverse impacts experienced by Springettsbury Township and the local community as a result of increased demand for public services. As stipulated by state gaming regulations, the proposed casino is projected to contribute over **REDACTED** in revenue to local and state revenue funds.

Springettsbury Township is estimated to receive nearly **REDACTED** in annual revenue as a result of the operations of the proposed casino, consisting of **REDACTED** in annual slot host revenue and **REDACTED** in annual table host revenue. This material increase in annual revenue represents **REDACTED** of Springettsbury Township's 2018 General Fund budget. The County and State are also expected to derive significant tax revenue from operations at the proposed casino.

Table 12 – State, County, and Local Tax Revenue Derived from Slots and Table Revenue at the Proposed Casino

		Year 1 Tax Revenue	Year 10 Tax Revenue
Slot Revenue	Tax Rate	REDACTED	
Property Tax Relief	34%		
PA Gaming Economic Development and Tourism Fund	6%		
CFA Grants -Any County Suffering Decreased LSA	5%		
Match for Host County LSA Funding	5%		
Grants through CFA to Host County	2%		
Host Municipality	2%		
Total	54%		
Table Revenue			
PA General Fund	14%		
CFA Grants to Host County	1%		
Host Municipality	1%		
Total	16%		
Total Slots and Gaming			

Source: PA Gaming Control Board (2018), Penn National (2018)

In addition to host community gaming revenue, construction and operations activity at the proposed casino is expected to generate substantial local tax revenue for Springettsbury Township. Over the construction period (modeled as a 16-month period), the Township is expected to receive approximately \$122,000 in earned income tax (EIT), local service tax (LST) and business privilege tax (BPT) as a result of the direct, indirect, and induced economic activity generated by construction of the proposed casino. Following the completion of the casino, the Township is expected to receive approximately \$43,000 in

additional EIT, LST, BPT, and Mercantile tax annually as a result of direct, indirect, and induced activity at the casino, equivalent to a 1 percent increase in total EIT, LST, BPT, and Mercantile Tax revenue.

Table 13 – Springettsbury Tax Revenue from Construction

Springettsbury Township	One-Time Construction Revenue
EIT	\$58,000
LST	\$5,000
BPT	\$59,000
Total	\$122,000

Source: Springettsbury Township (2018), IMPLAN (2015)

Table 14 – Springettsbury Tax Revenue from Ongoing Operations

Springettsbury Township	Annual Revenue from Operations	2018 Budget	% of 2018 Budget
EIT	\$28,000	\$2,580,000	1%
LST	\$8,000	\$1,125,000	1%
BPT ⁵	\$3,000	\$980,000	0%
Mercantile Tax	\$4,000	\$1,900,000	0%
Total	\$43,000	\$6,585,000	1%

Source: Springettsbury Township (2018), IMPLAN (2015)

⁵ Projected annual BPT from ongoing operations is based on annual indirect and induced activity estimated to occur in the Township of Springettsbury.

Table 15 – Net Annual Impact in Springettsbury Township

Tax Revenue Increase	Year 1	Year 2
Host Municipality Slot Revenue	REDACTED	
Host Municipality Table Revenue	REDACTED	
EIT	\$28,000	\$28,000
LST	\$8,000	\$8,000
BPT	\$3,000	\$3,000
Mercantile Tax	\$4,000	\$4,000
Total	REDACTED	
Upfront Impact		
Water and Sewer Upgrades	\$154,080	-
Traffic Improvements	\$97,750	-
Total	\$251,830	\$0
Net Positive Impact	REDACTED	

Source: Springettsbury Township (2018), PA Gaming Control Board (2018), Penn National (2018), IMPLAN (2015)

Springettsbury Township is expected to receive approximately **REDACTED** in annual tax revenue as a result of ongoing operations of the proposed casino. As the proposed casino prospers and gross terminal revenue increases as projected, the positive benefits shared with the state, county, and local community are also expected to increase. In total, the casino development is expected to have an estimated upfront net impact of **REDACTED** on the Township as a result of necessary capital improvements; however, we would anticipate the casino to offset the upfront impact costs through direct and/or indirect contributions, thus, resulting in only a tax revenue increase for the Township.

Following initial capital expenditures, the impacts from ongoing casino operations are anticipated to be negligible. After Year 1, conservatively assuming no growth in slot and table revenue, the Township is projected to receive **REDACTED** in increased annual tax revenue with negligible increased expenses.



September 5, 2018

VIA EMAIL AND FIRST-CLASS MAIL, RETURN RECEIPT REQUESTED

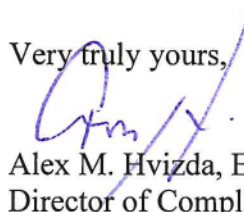
Ben Marchant, Township Manager
SPRINGETTSBURY TOWNSHIP
1501 Mount Zion Road
York, PA 17402

Re: Hollywood Casino York Local Impact Report

Dear Mr. Marchant:

Pursuant to regulations of the Pennsylvania Gaming Control Board, please find enclosed for service a ***Local Impact Report*** submitted on behalf of Penn National Gaming, Inc. and its subsidiary, Mountainview Thoroughbred Racing Association, LLC, in support of its proposed Hollywood Casino York. A more detailed transportation impact study will also be provided in the near future. Should you have any questions please do not hesitate to contact Eric Schippers, Senior Vice President, Public Affairs, at 610-373-2400.

Very truly yours,


Alex M. Hvizda, Esquire
Director of Compliance

Enclosure

CERTIFIED MAIL RETURN RECEIPT NO. 7010 1870 0003 6598 1130

cc John Luciani, P.E. w/ encl. (*via email only*)

MEMORANDUM

TO: Penn National Gaming, Inc.
FROM: Econsult Solutions, Inc.
DATE: September 4, 2018
RE: Local Impact Report in Support of PGCB Application

Background

Penn National Gaming, Inc. ("Penn National"), as part of its application for a Category 4 Satellite Casino located in Springettsbury Township ("Springettsbury" or "Township"), hired Econsult Solutions, Inc. ("ESI") to conduct a local services and economic impact analysis. The proposed casino would be located at the York Galleria Mall on the first floor of the Sears Store which closed in August 2018. York Galleria Mall is currently facing the closure of two of its three anchor stores, including Sears.

This memo details our analysis in support of Appendix 33 of Penn National's application to the Pennsylvania Gaming Control Board (PGCB). Our analysis includes a local impact report detailing its impacts on both Springettsbury Township and the Commonwealth of Pennsylvania.

Appendix 33

Methodology

In order to evaluate the potential impact of the proposed casino location, ESI undertook a three-part approach. First, we calculated the impacts of other Penn National casino locations on a per position basis. We then consulted Springettsbury Township officials to understand the baseline of local services and estimate the relative impact of the proposed casino. Finally, we integrated this analysis with the traffic and utility studies that Penn National had commissioned for the site.

A Category 4 casino can have a maximum of 750 slots and 40 tables (after Year 2), which equates to 990 positions. A gaming position is defined as either a slot machine or a seat at a table game. Penn National has five locations of similar size in the United States, located in Bangor, ME; Alton, IL; Tunica, MS; Zia Park, NM; and Biloxi, MS. These five sites have between 777 and 871 gaming positions. Penn National also operates a Category 1 casino in Pennsylvania, Hollywood Casino in Grantville, which has 2,750 gaming positions. Penn National provided average impacts from the similar sized casinos and the Pennsylvania-based casino. These calculations were used to estimate the impact of the Springettsbury location and are detailed by area of service below.

After calculating these estimates, we consulted with the relevant Managers and Directors of Springettsbury Township, the Chief of Police for the Springettsbury Township Police Department, and the Fire Chief for the York Area United Fire and Rescue, which services Springettsbury Township.

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Finally, we integrated our analysis with traffic and utility impact analyses that Penn National had commissioned for the casino to understand the overall local service impact of a Category 4 casino in Springettsbury Township.

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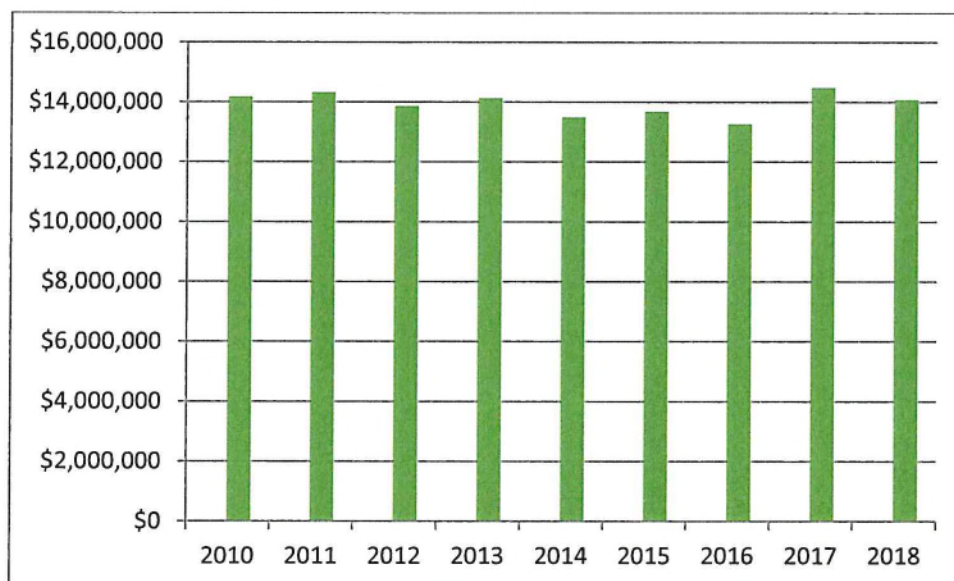
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Figure 1. Township General Fund 2010-2018 (in 2018\$)



Source: Township of Springettsbury (2010-2018)

Any major new revenue sources would represent a significant increase in the Township's General Fund.

Public Works Department:

Street Maintenance:

Due to the nature of the proposed casino location site, we anticipate negligible impacts on street maintenance. Located within an existing regional shopping mall, the proposed casino would be part of the York Galleria Mall, an already well-trafficked area.

As noted, Penn National commissioned a traffic study from the Transportation Resource Group (TRG). This study estimates that, at max build-out, the casino would generate 3,753 additional trips on a daily basis and 5,518 additional trips on Saturdays. Currently the annual average daily traffic (AADT) on roads adjacent to the York Galleria ranges from 5,700 on Pleasant Valley Road to 16,500 on Memory Lane.¹ Some of the new traffic added is expected to be offset by the closures of two of the Galleria's anchor stores, the Bon-Ton and Sears.

Sanitation, Water, and Sewer:

Water is currently provided to the site by the York Water Company, which feeds water through three water mains located on all three sides of the site. The addition of the casino to the site is expected to require an upgrade to the domestic water line from 2 inches to 4 inches. The site expects to use 21,663 gallons of water (including the water needed for sanitation) per day.

The size of the current public sanitary sewer line at the site is 4 inches and would need to be upgraded to a 6 or 8-inch line to accommodate the peak flows generated by a casino; the private sanitary sewer line is already an adequate size at 8 inches. To accommodate the increased number of people visiting the casino, the site would have to add 60 Equivalent Dwelling Units ("EDUs") to the currently existing 2 EDUs. The cost to add an additional EDU in Springettsbury Township is around \$2,568, totaling to \$154,080 to reach 62 EDUs.

Public Safety:

As noted, Penn National provided the average number of public safety calls per month and average number of calls per month per gaming position. This data enabled us to estimate the anticipated number of new public safety calls from the proposed casino location. The average calls per month per position were multiplied by the number of gaming positions at both the opening and max build-out levels for police, fire, and Emergency Medical Services ("EMS").

Table 3. Estimated Calls/Month at Springettsbury Location

Casino Location	# Gaming Positions	Police	Fire	EMS
Estimated Opening	620	4.1	1.5	1.4
Max Build-Out	990	6.6	2.3	2.2

¹ TRG Traffic Study for Proposed York Galleria casino location.

We then used information provided from Springettsbury Township officials to calculate the percentage increase on local public safety services from their current baseline operations.

Police:

Springettsbury Township operates a local police force, the Springettsbury Township Police Department ("STPD"), that manages law enforcement in the township. In total, the STPD receives an average of 917 calls per month, 83 of which are motor vehicle accidents or other traffic related incidents.² Using the average number of calls per month to police from the sample casinos, we estimate that, if the casino does open in Springettsbury Township, STPD could expect to receive an average of 4.1 additional phone calls per month as a result of the casino opening and an average of 6.6 phone calls per month at max build-out. This represents an estimated 0.5 percent increase and 0.8 percent increase in non-traffic related police calls for the Springettsbury Township Police Department. We do not anticipate that the casino will have a material impact on the STPD.

Fire:

The York Area United Fire and Rescue Department ("YAUFRD"), which operates in Springettsbury Township as well as the surrounding Spring Garden and Manchester Townships, provides fire suppression, fire prevention, EMS, disaster planning, and safety education to the residents and members to the three communities. The YAUFRD is staffed with a combination of both career and volunteer firefighters. Despite the broader trend of decreased volunteers, the YAUFRD ensures that all of the stations under its jurisdiction maintain the minimum staffing requirement to respond to calls. Every year, the YAUFRD receives an average of 333 calls per month, of which an estimated 142 originate in Springettsbury Township.

Using the average number of calls per month to the fire department from the sample casinos, we estimate that YAUFRD should expect to receive an average of 1.5 additional phone calls per month as a result of the casino opening and an average of 2.3 phone calls per month at max build-out. This represents a 0.5 to 0.7 percent increase in fire calls per month overall and a 1.1 to 1.6 percent increase in calls originating in Springettsbury Township. We do not anticipate that the casino will have a significant impact on the York Area United Fire and Rescue Department.

EMS:

Springettsbury contracts its ambulance and EMS services from the YAUFRD. This contract includes two intensive care units that operate 7 days a week: one 24-hour unit and one 12-hour unit. Currently, YAUFRD receives around 333 EMS phone calls per year originating from Springettsbury Township. Based on other Penn National casino locations, we estimate an additional 1.4 EMS calls per month at the opening and 2.2 at max build-out. Similar to fire services, this represents a 0.4 to 0.7 percent increase in EMS service demand. Overall, we expect that the proposed casino will have a negligible impact on local EMS services.

² On average, the STPD handles 300 felonies, 600 misdemeanors, and 500 summary offensives per year.

Traffic and Transportation

Based on the estimated daily trips increase, the traffic study completed by TRG provides one on-site and two off-site recommendations to ensure safe and efficient traffic movement:

Site Access:

1. The Whiteford Road/York Galleria SW driveway improvement options include the following:
 - a. Change the driveway to right in-right out only
 - b. Change the driveway to egress only

Off Site:

1. Provide a contribution to Springettsbury Township to optimize the traffic signal timings at Mt. Zion Road (S.R.0024)/U.S. 30 Westbound Ramps – Whiteford Road intersection.
2. Provide a contribution to Springettsbury Township to optimize the traffic signal timings at Mt. Zion Road (S.R.0024)/Pleasant Valley Road intersection.

In total, these improvements are estimated to cost \$97,750.³

Other Impacts

Housing:

The casino site is not expected to have an adverse effect on housing in the surrounding area due to US Route 30 and PA Route 24 adequately separating the York Galleria Mall from the surrounding residential community. There are two anticipated residential developments proximate to the site location. The first, Pleasant Valley, LLC is located on the northwest corner of the Mt. Zion Road (S.R.0024)/Pleasant Valley Road intersection. This development includes 80 apartment units as part of a larger mixed-use site. The other residential development is Triplet Springs, consisting of 129 single family homes located north of the York Galleria Mall. We expect that the location of the casino in an existing commercial hub is projected to result in a minimal impact on local housing.

Existing Tourism:

We anticipate that the regional market draw of the casino is expected to have a net-positive impact on Springettsbury Township tourism. The proximity of the casino to stores within the York Galleria Mall and other local businesses including restaurants, hotels, and retail is expected to increase ancillary spending within the Township. When visiting Springettsbury Township for the proposed casino, visitors are more likely to spend other money within the Township at restaurants proximate to the casino or visiting nearby retail stores.

Springettsbury is a regional tourism destination for York County. This tourism is driven by the Township Park, located across from the Galleria, and the Harley Davidson Factory Tour. Although the Township Park is adjacent to the York Galleria Mall, we do not anticipate any adverse effects on park attendance due to the opening of the casino due to the separation by PA Route 24.

³ TRG traffic study.

Springettsbury also hosts a number of popular annual events including the Street Run, antique car shows, and the York County Fair. These events draw primarily from York and Lancaster counties. Because Penn National anticipates the same market area for the proposed casino, we do not anticipate that it will have a significant impact on the makeup of tourists in the Township.

Other Municipal Services and Resources:

The Permits/Planning/Zoning office should anticipate a one-time and minor impact due to the administrative work needed for the construction of the casino. This impact is expected to subside once construction of the casino is underway. The ongoing operations of the casino are expected to have a minimal impact on the permits office and should not produce any significant adverse increases in workload in other government departments.

Conclusion

In total, we estimate that the casino development is expected to have an upfront impact of \$252,000 on the Township from capital improvements; however, we would anticipate the casino to offset these costs through direct and/or indirect contributions.

Table 4. Estimated Upfront Capital Improvements

Area of Investment	Cost
Water and Sewer Upgrades	\$154,080
Traffic Improvements	\$97,750
Total	\$251,830

CERTIFICATE OF SERVICE

I, Alex M. Hvizda, hereby certify that on this 5th day of September, 2018, I caused a true and correct copy of the foregoing *Local Impact Report*, to be served by electronic mail and first class mail, return receipt requested upon the following:

Ben Marchant, Township Manager
SPRINGETTSBURY TOWNSHIP
1501 Mount Zion Road
York, PA 17402
ben.marchant@springettsbury.com



Alex M. Hvizda, Esquire
PA ID 306565
777 Hollywood Boulevard
Grantville, PA 17028
[717] 469-3079
alex.hvizda@pngaming.com

HOLLYWOOD CASINO YORK

APPENDIX 34

CONFIDENTIAL

LAND ACQUISITION COSTS

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HOLLYWOOD CASINO YORK

APPENDIX 35

CONFIDENTIAL

COMPULSIVE AND PROBLEM GAMBLING PLAN

To the extent possible, Applicant will use the same Compulsive and Problem Gambling Plan, approved by the Board, as it uses at its Category 1 licensed facility.

HOLLYWOOD CASINO YORK

APPENDIX 36

CONFIDENTIAL

TEMPORARY FACILITY

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HOLLYWOOD CASINO YORK

APPENDIX 37

CONFIDENTIAL

SECTION 1325 REQUIREMENTS

1. Location and Quality of Proposed Facility

The proposed Category 4 facility is intended to be called the Hollywood Casino York, which utilizes Applicant's signature brand. Hollywood Casinos are synonymous with excellence and style and provide guests with a quality offering of gaming and entertainment. There are presently 15 Hollywood-branded regional casinos in the United States, including the Hollywood Casino Penn National property in Grantville, PA. The décor is envisioned as a blend of modern amenities evoking a classic art deco design. Audiovisual displays are planned to be utilized throughout the property and stream movie trailers, entertainment programming, and sporting events intended to inform and entertain patrons.

The facility will be located in the western portion of the York Galleria Mall at 2899 Whiteford Road, Springettsbury Township, York County, PA 17402 on the first floor of the former Sears anchor location. The mall is located just north of U.S. 30 and west of Mt. Zion Road (S.R. 24) between Pleasant Valley Road and Whiteford Road. The proposed facility is centrally located between two nearby (approximately 0.25 miles away respectively) intersections off of U.S. 30 at Mt. Zion Road and Memory Lane and easily accessible through five existing full-movement driveways currently providing access to the York Galleria Mall. In addition, the proposed facility is surrounded on three sides (see site plan) with conveniently located existing parking for 1,029 vehicles. A significant reason this site was chosen as Applicant's Category 4 location was its unique proximity to existing and conveniently located infrastructure.

In determining Springettsbury as its location, the Applicant retained Union Gaming, a well-known advisory firm specializing in the gaming industry, to conduct an independent market feasibility study. REDACTED

REDACTED

REDACTED As referenced above, the York Galleria Mall is ideally located just off of U.S. 30, which is the primary roadway connecting York and Lancaster. The location is also approximately three miles from Interstate 83, which is the primary north/south roadway to/from Maryland (around 17-miles away). Trip distribution is projected for REDACTED

REDACTED

REDACTED Rabbittransit, a regional public transportation provider, also provides fixed route transit services via Route 1E to the York Galleria Mall.

HOLLYWOOD CASINO YORK

APPENDIX 37

CONFIDENTIAL

In summary, the Applicant believes its location is ideal to service its targeted market and is closely proximate to existing and ample infrastructure for which its guests can conveniently utilize.

2. Job Creation and Economic Development

Applicant has a proven reputation for providing new jobs and economic development in the communities in which it operates. Since the grand opening of Hollywood Casino at Penn National Race Course in 2008, the Penn National Gaming, Inc. ("Penn National" or the "Company") has grown to become the largest regional gaming and racing company in the United States, with 27 properties in 15 jurisdictions. During this time, Penn National has invested upwards of \$3 billion to develop and open six green field properties and significantly renovate or expand six additional properties. Following the expected acquisition of Pinnacle Entertainment and the development and opening of its two Category 4 facilities, Penn National will operate 42 facilities in 18 states. Penn National currently employs approximately 19,000 Team Members and, upon the expected acquisition of Pinnacle Entertainment, will add roughly 12,000 employees to its roster.

In the Commonwealth, Penn National employs approximately 1,000 Team Members at Hollywood Casino at Penn National Race Course and 130 at its corporate headquarters in Wyomissing. This number is expected to significantly increase with the addition of The Meadows Racetrack & Casino (as part of the Pinnacle acquisition) and the development and opening of two "Category 4" facilities, including Hollywood Casino York, to its portfolio.

As is the case in each of the communities in which it serves, Penn National is committed to its "buy local, hire local" approach that has resulted in the Company receiving numerous awards throughout the country, such as the recent "Business of the Year" award by the Unified Chamber of Commerce in Massachusetts and "Best Place to Work" by the Bangor Chamber of Commerce in central Maine. Penn National routinely relies on local chamber and workforce development organizations to assist in executing the most effective communication strategies in new communities to identify local vendors and prospective employees and has already begun the implementation of a similar program in York County. To this point, Penn National actively engaged the York County Economic Alliance ("YCEA") immediately upon being awarded the first Category 4 auction bid in January.

Job Creation

Penn National engaged YCEA to help identify workforce development partners to assist in the identification and recruitment of up to 250 future Team Members for Hollywood Casino York. These organizations include, but are not limited to, Crispus

HOLLYWOOD CASINO YORK

APPENDIX 37

CONFIDENTIAL

Attucks, South Central Workforce Investment Board, and Penn State York. Penn National representatives have met with these organizations to discuss the employment requirements and job descriptions at Hollywood Casino York and expect to host job fairs and other outreach programs in 2019. Further, Penn National anticipates reaching out to individuals displaced by the closing of the Sears store at the York Galleria Mall for employment opportunities.

Economic Development

Penn National also engaged the YCEA to request assistance in identifying local construction and purchasing vendors. The Company has a proven system to recruit these vendors via its website registration program (<http://www.pngaming.com/Vendors>) and expects to host vendor fairs and other outreach programs in conjunction with the YCEA and the project's general contractor in the coming months. Penn National expects to employ between 100-200 individuals via subcontractors during the development of Hollywood Casino York.

Penn National has witnessed positive economic development in the vicinity of their new gaming facilities across the United States and expects similar results in York County. For example, Penn National has seen significant growth near its facilities in Kansas City, Kansas (opened 2012); Columbus, Ohio (opened 2012); and Plainville, Massachusetts (opened 2015). New hotels, retail outlets, and dining establishments have opened in the vicinity of each property.

The Company expects Hollywood Casino York to draw hundreds of thousands of visitors to the York Galleria Mall area, creating opportunities for potential new tenants to provide goods and services to the influx of patrons to the area, and thus creating additional new jobs in the region. Penn National expects to work constructively with Township officials and the owner of the mall to attract complementary businesses to further enhance the offerings available to residents and visitors of York County.

3. Employment Diversity

Penn National is committed to a developing a high performance, inclusive work environment that reflects the diversity of the communities in which we serve. We strive to create a company culture where all ideas and all contributions are valued no matter how or from whom they may originate. We actively seek out Team Members from traditionally disadvantaged groups and are committed to making inclusiveness the foundation of our Company's culture. Penn National Gaming's Equal Employment Opportunity Policy is as follows:

HOLLYWOOD CASINO YORK

APPENDIX 37

CONFIDENTIAL

To give equal employment and advancement opportunities to all employees and applicants, the Company makes employment decisions based on each person's performance, qualifications, and abilities. We do not discriminate in employment opportunities or practices on the basis of race, color, religion, gender, national origin, age, disability, sexual orientation, or any other characteristic protected by law. In addition, the Company will make reasonable accommodations for qualified individuals with known disabilities. The Equal Employment Opportunity Policy covers all employment practices, including selection, job assignment, compensation, discipline, separation of employment, and access to benefits and training.

Penn National has a comprehensive Workforce Diversity Plan for the ongoing development of its workforce at its existing facilities that it will employ at Hollywood Casino York. The plan is designed not only to provide equal opportunity to individuals identified in traditionally disadvantaged groups, but also to promote a workforce that is reflective of our surrounding community.

The plan in York County will be implemented by engaging with community-based organizations, such as the YCEA, Crispus Attucks, and the South Central Workforce Investment Board and conducting aggressive outreach, recruitment, and training in order to identify motivated and qualified individuals to join our team.

The goals of our initial meetings with these local organizations include:

- Identifying existing educational institutions with programs that allow graduate skillsets to transfer to the needs of our facility;
- Identifying educational institutions where we can foster longer term partnerships in existing career training programs (such as culinary programs);
- Identifying organizations in both the education and workforce development communities that have an existing communications portal that can provide job advertising services;
- Engaging organizations that have existing or can create partnering opportunities for events like job information sessions and job fairs;
- Understanding local demographics, available labor pool and challenges with recruiting qualified team members, understanding under and unemployed dynamic in the local area. The United States Census Bureau's latest figures state that approximately 16% of York County's population are comprised of individuals from ethnic minority groups and, according to the Pennsylvania Department of Labor &

HOLLYWOOD CASINO YORK

APPENDIX 37

CONFIDENTIAL

Industry, the current unemployment rate is approximately 3.4%. We will incorporate this data into our overall employment plan.

We will continue developing relationships with these organizations while pursuing others with the goal of reaching as broad a group of potential employees as possible during our initial hiring phase as well as throughout the ongoing operations at Hollywood Casino York.

Hollywood Casino at Penn National Race Course has executed a similar employment strategy over the past 10 years with positive results. Today, 41% of the workforce is represented by women and 19% is comprised of individuals from racial minority groups.

4. Vendor Diversity

Penn National is committed to ongoing business relationships with a wide array of diverse organizations in the development and the operation of its gaming facilities across the country. As such, the Company utilizes its "Supplier Diversity Program" not as a set-aside, nor a giveaway, but rather a unique opportunity for traditionally disadvantaged companies to participate equitably in the procurement process, and to be included in any all efforts to develop the capabilities of the businesses participating in the program. Penn National expects to implement its Supplier Diversity Program for the development and operation of Hollywood Casino York, just as it has done for previous development projects and currently employs at its operating facilities across the country.

It's no secret that by the year 2050, the majority of the U.S. population will consist of ethnic groups previously considered minorities. To ensure that Penn National Gaming's suppliers reflect our present and future customer base, and society as a whole, we are committed to establishing ongoing relationships with minority, women, and disadvantaged-owned businesses to further their growth and development. We encourage joint venturing, subcontracting, mentoring and Tier II programs, and participate in training workshops and procurement forums that will assist vendors in reaching their full potential. At Penn National Gaming, we value diversity and consider it an important and necessary tool that enables us to maintain a competitive edge in today's ever-changing market place.

Penn National recognizes a "diversity supplier" as a supplier that holds one or more of the following certifications:

- Disabled Business Enterprise (DIS)
- Disabled Veteran Business Enterprise (DVET)
- Disadvantaged Business Enterprise (DBE)

HOLLYWOOD CASINO YORK

APPENDIX 37

CONFIDENTIAL

- Historically Underutilized Business Zone (U.S. SBA HUBZone Empowerment)
- Minority-Owned Business Enterprise (MBE)
- Minority/Women-Owned Business Enterprise (M/WBE)
- Small Business Administration 8(a) Program (SBA 8(a))
- Small Business Enterprise (SBE)
- Small Disadvantaged Business (SDB)
- Veteran-Owned Business Enterprise (VET)
- Women-Owned Business Enterprise (WBE)

5. Equal Employment Opportunity

As outlined above, Penn National's Equal Employment Opportunity Program and Supplier Diversity Program were devised to ensure that all traditionally disadvantaged persons and businesses are provided equality of opportunity to work for or contract directly with the Company. These standards are expected to be met by any and all contractors, subcontractors, assignees, lessees, agents, gaming service providers and suppliers that are employed directly or indirectly by Penn National during the development and operation of Hollywood Casino York. They are expected to emulate the Company's core operating principals and Penn National provides regular oversight of the execution of their inclusion policies.

6. Tourism

While we do not expect tourism facilities to be incorporated into the development of Hollywood Casino York, Penn National does have a history of including tourism-related amenities at its properties throughout the country.

Following the disastrous effects of Hurricane Katrina on the Gulf Coast of Mississippi in 2005, the Company rebuilt Hollywood Casino Gulf Coast in Bay St. Louis, Mississippi. Since that time, Penn National and its partners invested significantly to renovate the Bridges Golf Club, an Arnold Palmer signature course that was voted one of the "Top 10 Courses in Mississippi" by Golf Digest. The Company also invested in the development of a "lazy river" water park adjacent to the hotel, as well as a spa and RV park.

In addition, the Company partnered with International Speedway Corporation ("ISC") in 2009 to develop Hollywood Casino at Kansas Speedway immediately adjacent to "Turn Two" of the NASCAR racetrack. Penn National, together with ISC and area business and civic organizations, have heavily promoted the area, which has now become an entertainment hub that includes a major league soccer stadium, minor league baseball stadium, and significant retail shopping outlets.

HOLLYWOOD CASINO YORK

APPENDIX 37

CONFIDENTIAL

Further, the Company's marketing plans include coordinating with the York County Visitors Bureau and local hotels to develop packages to draw customers to York who live within a 60-minute drive of the casino. Finally, it is expected that the Company's redevelopment of an anchor of the York Galleria Mall will spark further investment in the facility.

7. Living Wage Job Creation

As previously indicated, Penn National Gaming has an established reputation as a significant job creator in the communities in which it serves, and in particular in the Commonwealth of Pennsylvania. At present, the Company employs over 1,100 Pennsylvanians, a number that is expected to more than double with the pending acquisition of The Meadows Racetrack & Casino and two Category 4 facilities.

At Hollywood Casino York, the Company expects to employ up to 250 citizens once the facility is open, with an approximate 75%-25% split between full-time and part-time employees. Penn National will work directly with Springettsbury Township officials and designees to actively promote job opportunities to residents as well as those who may have recently lost employment due to closures at the York Galleria Mall. The Company had success implementing a similar local jobs program at its Plainridge Park Casino in Massachusetts, where today approximately 34% of Team Members reside within roughly 10 miles of the facility.

In addition to competitive salaries in a tight employment market, Penn National is committed to providing a comprehensive program of benefits that can be tailored to the specific needs of employees and their families. The program includes:

- Health Coverage
- Medical/Rx
- Dental
- Vision
- Employee Assistance Programs (EAP)
- Life and Disability Insurance
- Basic Life and Accidental Death & Dismemberment (AD&D)
- Supplemental Life
- Dependent Life
- Short Term Disability
- Long Term Disability
- Financial
- 401(k) Retirement Savings
- Flexible Spending Accounts for Health and Dependent Care Expenses

HOLLYWOOD CASINO YORK

APPENDIX 37

CONFIDENTIAL

- Pre-tax (Section 125) funding of employee contributions for medical, dental, and vision
- Paid Time Off

8. Community Commitment

Penn National is proud of its history in the development and operation of significant gaming facilities across the United States, and equally proud of its commitment to deliver on the promises made to host communities.

In 2005, Penn National gaming launched the Penn National Gaming Foundation, a 501(c)3 private foundation in the wake of Hurricane Katrina to aid the nearly 2,000 Penn National employees impacted by the storm. The Foundation awarded over \$1.4 million grants for immediate needs such as food, water, clothing, shelter, and medical needs.

Today, the Penn National Gaming Foundation, together with the Company's 27 properties and corporate leadership, provide approximately \$6 million annually to support numerous local non-profit organizations in the communities in which Penn National operates, focusing on projects that promote community development, education, human resources, cultural affairs and diversity, and health services.

The Company invests significant time and energy with the American Cancer Society ("ACS"). Chief Executive Officer Timothy Wilmott is an active member on the ACS's Pennsylvania Chapter of CEO's Against Cancer, and nearly every property participates in the organization's annual Relay for Life. This year Penn National eclipsed the \$1 million-dollar donation level, with a substantial portion raised by individual Team Members across the country. In addition, leadership at each property is actively engaged with local civic groups, and many host fundraisers for specific needs in the immediate community: stocking local food pantries, hosting back-to-school backpack stuffing events, and supporting micro-local fundraisers for those in need.

Employees at the Corporate office in Wyomissing are engaged in numerous philanthropic activities, including active board memberships at the Milton S. Hershey Medical Center, Berks County YMCA's, IM ABLE Foundation, Mary's Shelter, and Atonement Lutheran Church, among many others. The Company is extremely proud to call Pennsylvania "home" and is committed to making the Commonwealth better every day.

HOLLYWOOD CASINO YORK

APPENDIX 37

CONFIDENTIAL

9. Public Services Impact

Penn National Gaming does not foresee any adverse effects with the development and operation of Hollywood Casino York. The property will be located in an existing building with ample parking and roadway access and is expected to result in a significant increase in annual tax revenues for Springettsbury Township. Regardless, the Company will maintain an active working relationship with Township officials and civic and community leaders to address concerns of citizens prior to and following the opening of Hollywood Casino York. The Company maintains an active membership with and financially supports the Council on Compulsive Gambling of Pennsylvania.

10. Labor Relations

Penn National Gaming maintains and enforces policies that promote equal opportunity in employment—and does not tolerate discrimination based upon any characteristic protected by federal, state or local law. The Company makes reasonable accommodations for qualified individuals with disabilities, and also provides leave time for employees dealing with their own, or family members', serious health conditions. Further, the Company maintains a safe and healthy working environment, in compliance with OSHA regulations and state and local safety requirements, and Mountainview implements programs for the prevention of occupational injuries and illnesses.

To further promote a healthy, respectful, and inclusive workplace, Team Members are trained on acceptable workplace behaviors. Management Team Members are also trained on management techniques to avoid discrimination or other inappropriate behavior. Additionally, Penn National Gaming maintains an "Open Door" policy whereby Team Members can come to Management or any of the Human Resources, Compliance, or Internal Audit departments with any issues or concerns that they have. The Company has a no-retaliation policy to encourage the reporting of concerns. As an additional measure to ensure that Team Members can feel free to report, the Company maintains a hotline (hosted by a third-party vendor) available to all employees; the hotline offers the option of anonymous reporting, and Penn National Gaming's compliance department ensures that each complaint is investigated and responded to appropriately—including, where appropriate, by investigators outside of the Company.

Aside from formal policies and programs that address Team Member concerns, Mountainview conducts two surveys of Team Members each year to assess employee satisfaction throughout the workplace. In the most recent two years, in which more than 90% of employees participated in surveys, results were consistently rated higher than 88% "favorable" by our third-party survey administrator. The survey data and

HOLLYWOOD CASINO YORK

APPENDIX 37

CONFIDENTIAL

comments are reviewed in detail through all levels of the organization, and action plans are developed to address Team Member concerns.

As a result of all of these efforts, Hollywood Casino at Penn National Race Course enjoys very positive employee relationships. Of its 955 employees, approximately 58 are represented by labor unions: Laborers Local # 108 (Lliuna, AFL-CIO) and Local # 947 (International Chapter of Horseshoers & Allied Equine Trades). Local #108's contract extends to December 2021, and Mountainview has just successfully concluded negotiations with Local # 947 for a new 3-year contract.

HOLLYWOOD CASINO YORK

APPENDIX 38

CONFIDENTIAL

FINANCING

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HOLLYWOOD CASINO YORK

APPENDIX 39

CONFIDENTIAL

BUSINESS AND ECONOMIC DEVELOPMENT PLANS

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 40

CONFIDENTIAL

LAW ENFORCEMENT LETTERS OF REFERENCE

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 41

CONFIDENTIAL

GAMING ENFORCEMENT LETTERS OF REFERENCE

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 43

CONFIDENTIAL

GAMING SERVICE PROVIDERS

REDACTED

HOLLYWOOD CASINO YORK

APPENDIX 44

CONFIDENTIAL

OWNERSHIP / BENEFICIAL INTERESTS

Does not apply.

HOLLYWOOD CASINO YORK

APPENDIX 46

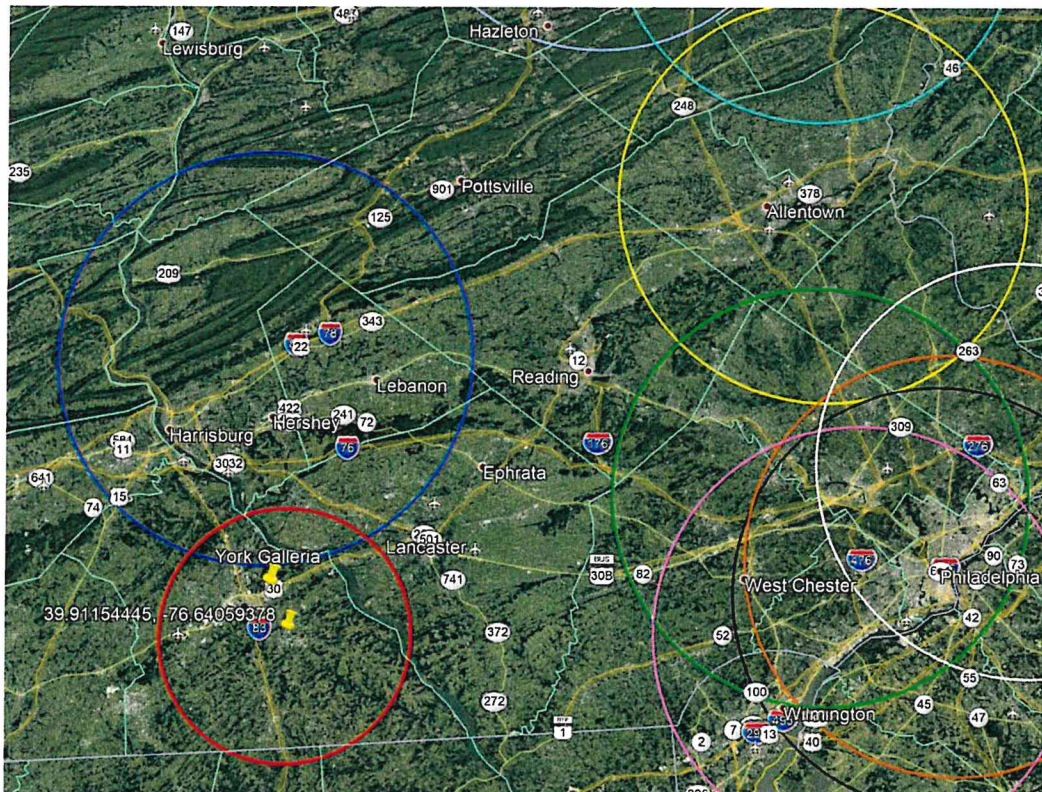
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SECTION 1305.1(B) LOCATION ELIGIBILITY

In determining its Location and to verify that it met the eligibility requirements under 1305.1(B), Applicant hired Ludgate Engineering Corporation in Reading, PA to conduct a field survey, review of record plans for the site, and review of Township and Borough Maps in the area. As such, Applicant's center point of its Location was determined as thus:

- Latitude: 39 degrees 54 minutes 41.56003 seconds N (39.91154445 degrees N)
- Longitude: 76 degrees 38 minutes 26.13762 seconds W (76.64059378 degrees W)
- Municipality: Borough of Yoe
- County: York County, PA

The map below depicts Applicant's 15-linear mile Location in "red," which only intersects with Applicant's own Category 1 licensed facility, Hollywood Casino at Penn National Race Course in Grantville, Dauphin County, PA (its 25-linear miles shown in "blue"). The next closest Category 1, Category 2 or Category 3 facility is Valley Forge (its 25-linear miles shown in "green"), which is over 65-linear miles away. In addition, York County (the Facility Location) does not host a Category 3 licensed facility and is not designated as a sixth-class county.



HOLLYWOOD CASINO YORK

APPENDIX 46

CONFIDENTIAL

Lastly, Applicant consulted with Shaun Donovan, Director of Regional Workforce Partnerships with the Harrisburg Regional Chamber & CREDC, and was provided the following tax parcels for the York Galleria Mall in Springettsbury, PA:

- 46-000-KJ-00-01-0000000
York Galleria, LP
2899 Whiteford Road
- 46-000-KJ-000-1P-000000
BT York (PA) Business Trust
2899 Whiteford Road
- 46-000-KJ-000-1Q-000000
Pax Mall Realty Company, LP
2899 Whiteford Road

Based on this information, Mr. Donovan confirmed that no portion of the Location is within a designated subzone, an expansion subzone or an improvement subzone under the act of October 6, 1998 known as the Keystone Opportunity Zone, Keystone Opportunity Expansion Zone and Keystone Opportunity Improvement Zone Act.